



# Indo Thai Securities Limited

*Regd. Office: Capital Tower, 2<sup>nd</sup> Floor, Plot Nos. 169A-171, PU-4, Scheme no. 54, Indore (M.P.), 452010*

## Code of Conduct for Unauthentic News Circulation

This code is made in compliance with the SEBI circular no. SEBI/HO/ISD/ISD-PoD-2/P/CIR/2023/039 dated 23<sup>rd</sup> March, 2023 in connection with Un-authentication news circulated by SEBI Registered Market Intermediaries through various modes of communication by its employees/directors/ temporary staff etc.

1. Proper internal code of conduct and controls has been put in place.
2. Employees/temporary staff/voluntary workers etc. employed/working in the office of the Company do not encourage or circulate rumours or unverified information obtained from client, industry, any trade or any other sources without verification.
3. Access to social media platforms/ instant messaging services/ VoIP / Blogs/Chat forums/ websites/e-mail or any such medium should either be subject to controlled supervision or access would not be allowed.
4. Logs for any usage of such social media platforms/ instant messaging services/ VoIP / Blogs/Chat forums/websites/e-mail or any such medium shall be treated as records and the same should be maintained as specified by the respective regulations which govern the Company.
5. Employees are being directed that any market related news received by them either in their official mail/personal mail/blog or in any other manner, should be forwarded only after the same has been seen and approved by the Compliance Officer of the Company. If an employee



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fails to do so, he/she shall be deemed to have violated the various provisions contained in SEBI Act and the Rules / Regulations framed thereunder, and shall be liable for action. The Compliance Officer shall also be held liable for breach of duty in this regard.

In contravention of the above mentioned code of conduct, shall be deemed to have violated by any employee the provisions contained in SEBI Act/Rules/Regulations etc and shall be liable for appropriate action.

This Code can be modified/amended/alterd as required from time to time in compliance of the relevant provisions/regulations in this regard.

**Note:** This Policy is approved and adopted in the Board Meeting held on 23.05.2023.

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