



IndoThai

trade with confidence

INDO THAI SECURITIES LTD.

Client Registration Form

(Broking and Depository Services)

Non - Individual

(To be filled by Member Broker / Depository Participant)

☐ Body Corporate ☐ HUF ☐ Partnership Firm ☐ Registered Trust ☐ Others

Date : ____ / ____ / ____

Client Code : _____

Application No. : _____

DP ID:

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Client ID :

--	--	--	--	--	--	--	--

Client /B.O. Name : _____

DEBIT AUTHORISATION

To,
INDO THAI SECURITIES LIMITED
"CAPITAL TOWER" 2nd Floor, Plot No. 169A-171,
PU-4, Scheme No. 54, **INDORE** - 452010 (M.P.)

Subject : Authority to debit the D-mat Account Charges.

Beneficiary Client ID	1 2 0 5 0 9 0 0 -	(Indo Thai Securities Ltd.)
Trading Account Code		

Dear Sir,

1. I/We have a beneficiary account with Indo Thai Securities Ltd. (DP) with client ID as mentioned above.
2. Further I/We have trading account with Indo Thai Securities Ltd. (trading account codes mentioned above) for investment and trading purpose.
3. I/We find it extremely inconvenient to issue cheques for the depository bills and client Registration Charges etc for my / our BO account mentioned above.

Therefore I/We hereby authorize you to debit my / our Trading Account Maintained with Indo Thai Securities Ltd. , for the dues against my/our above BO a/c. for depository Bills and other charges. Any such sum credited to my/our DP account shall be binding on me/us.

Thanking You

Yours Faithfully,

Clients Signature 

Client Name

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MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI & EXCHANGES			
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9.	Guidance Note	Document detailing do 's and don 'ts for trading on exchange, for the education of the investors.	
10.	Policies and Procedures	Document describing significant policies and procedures of the stock broker	
11.	Rights and Obligations of Stock Brokers, Sub Brokers, and clients as prescribed by SEBI and Stock Exchanges	Document stating the Rights & Obligations of stock broker /trading member, sub-broker and client for trading on exchanges (including additional rights & obligations in case of internet /wireless technology based trading).	
12.	Terms and Conditions cum Registration/ Modification form for Receiving SMS Alert from CDSL	Document describing Terms and Conditions for cum Registration/ Modification form for Receiving SMS Alert from CDSL	
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1.	Power of Attorney	This Document confers specific rights on INDO THAI for operating your Demat Account and for transferring the Shares for pay-in purpose only.	15-16
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For Office use only

Internal Track sheet

1. Receipt of form	<input type="checkbox"/> Branch Office	<input type="checkbox"/> Head Office
2. Verified by Sales Department	Name _____	(sign & date) _____
3. Account opening Department	Name _____	(sign & date) _____
4. Uploaded at CVLKRA	Name _____	(sign & date) _____
5. Uploaded at CKYC	Name _____	(sign & date) _____
6. Whether uploaded on terminal	Name _____	(sign & date) _____
7. Backoffice	Name _____	(sign & date) _____
8. Documents (mentioned in point no 6 to 11 above) sent through mail, welcome email and SMS	Send by _____	(log checked by) _____
9. Final Approved by	Name _____	(sign & date) _____

KYC FORM RECEIPT

To,
INDO THAI SECURITIES LIMITED
"CAPITAL TOWER" 2nd Floor, Plot No. 169A-171,
PU-4, Scheme No. 54, **INDORE** - 452010 (M.P.)

Subject : Acknowledgement of Receipt of a Copy of KYC & Other Documents.

Dear Sir,

This is to acknowledge that I / We _____
with trading code _____ have received a copy of the duly completed
Client Registration Form (KYC) and all other mandatory and voluntary documents viz. Rights and Obligations
Documents, Risk Disclosure Documents (RDD), Guidance notes, Policies and Procedures, Terms and Conditions cum
Registration/Modification form for Receiving SMS alerts from CDSL, Terms and Conditions for availing Transaction
using Secured Texting (TRUST), as available on the website of the Company.

The above mentioned mandatory documents are also provided on email ID provided by me in KYC documents and copy
of other documents executed by me/us as a client.

I / We hereby declare that I / We have the full intimation of trading code and email id for the purpose of receiving
electronic contract note and other.

Thanking you

Client's Signature  ② _____

Client Name _____

ACKNOWLEDGMENT RECEIPT

Application No.:

Date:

We hereby acknowledge the receipt of the Account Opening Application Form :

Name of the Sole / First Holder	
Name of Second joint Holder	
Name of Third joint Holder	

For **INDO THAI SECURITIES LTD.**



MEMBER OF :
NATIONAL STOCK EXCHANGE OF INDIA LTD. (NSE)
BOMBAY STOCK EXCHANGE LTD. (BSE)
METROPOLITAN STOCK EXCHANGE OF INDIA LTD. (MSEI)

REGISTERED OFFICE & CORRESPONDANCE ADDRESS :
"CAPITAL TOWER" 2nd Floor, Plot No. 169A-171, PU-4,
 Scheme No. 54, **INDORE** - 452010 (M.P.) • **Ph.:** (0731) 4255800 - 801
 • **E-mail :** indothaigroup@indothai.co.in • **Website :** www.indothai.co.in

- CLEARING MEMBER FOR NSE (CDS) - INDO THAI SECURITIES LTD. "CAPITAL TOWER" 2nd Floor, Plot No. 169A-171, PU-4, Scheme No. 54, Indore - 452010 (M.P.)	- CLEARING MEMBER FOR BSE (F&O), MSEI (CDS) - GLOBE CAPITAL MARKET LTD. Regd. Off.: 710, Ansal Bhawan, 16, K.G. Marg, Cannught Place, New Delhi-110001
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- CLEARING MEMBER FOR NSE (F&O)
EDELWEISS CUSTODIAL SERVICES LTD.
 Regd. Off.: Edelweiss House, Off. C.S.T. Road, Kaliva, Mumbai-400098 Maharashtra

INDO THAI SECURITIES LIMITED	
COMPLIANCE OFFICER : Name : HEMANT AGRAWAL Phone No. : (0731) 4255813 E-mail : compliance@indothai.co.in	CHIEF EXECUTIVE OFFICER (CEO) Name : DHANPAL DOSHI Phone No. : (0731) 4255803 E-mail : ghanpaldoshi@indothai.co.in

Exchange	Segment	SEBI Registration No.	Regd. Date
NSE	CM	INB 230776739	14-09-1995
	F&O	INF 230776739	08-06-2000
	CDS	INE 230776739	26-08-2008
BSE	CM	INB 010776731	23-04-2008
	F&O	INF 010776731	22-09-2010
MSEI	CDS	INE 260776739	29-09-2008

FOR ANY GRIEVANCE / DISPUTE
 please contact
Indo Thai Securities Limited at the above address or
 email id - compliance@indothai.co.in and Phone no. 0731-4255813
 In case not satisfied with the response, please contact the concerned exchange(s) at

Exchange Name	Email ID	Phone No.
NSE	ignse@nse.co.in	Toll free : 1800220058 (022) 26598190 / (0731) 6725100 (Indore Office)
BSE	is@bseindia.com amit.keshri@bseindia.com (Indore Office)	022 - 22728097 / 22728517 9977069237 (Indore Office)
MSEI	investorcomplaints@msei.in	(022) 61129000 (Ext. 9028)

Disclosure on Proprietary Trading : We hereby disclose that we do client based business as well as Proprietary Trading.

INSTRUCTIONS /CHECK LIST FOR FILLING KYC FORM

A. IMPORTANT POINTS:

- Self attested copy of PAN card is mandatory for all clients.
- Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
- If any proof of identity or address is in a foreign language, then translation into English is required.
- Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
- If correspondence & permanent address are different, then proofs for both have to be submitted.
- Sole proprietor must make the application in his individual name & capacity.
- For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OCI Card and overseas address proof is mandatory.
- For foreign entities, CIN is optional; and in the absence of DIN no. for the directors their passport copy should be given.
- In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
- For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
- Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity (POI): List of documents admissible as Proof of Identity:

- PAN card with photograph. This is a mandatory requirement for all applicants except those who are specifically exempt from obtaining PAN (listed in Section D).
- Unique Identification Number (UID) (Aadhaar)/Passport/Other ID card/Driving license
- Identity card/ document with applicant's Photo, issued by any of the following:
Central/State Government and its Departments, Statutory/Regulatory Authorities Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA): List of documents admissible as Proof of Address: (*Documents having an expiry date should be valid on the date of submission.)

- Passport/Voters Identity Card/Ration Card/Registered Lease or Sale

F. In case of Non-Individuals, additional documents to be obtained from Non-individuals, over & above the POI & POA, as mentioned below :

Types of entity	Documentary requirements
Corporate	<ul style="list-style-type: none"> Copy of the balance sheets for the last 2 financial years (to be submitted every year) Copy of latest share holding pattern including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover Regulations, duly certified by the company secretary/Whole time director/MD (to be submitted every year) Photograph, POI, POA, PAN and DIN numbers of whole time directors/two directors in charge of day to day operations Photograph, POI, POA, PAN of individual promoters holding control – either directly or indirectly Copies of the Memorandum and Articles of Association and certificate of incorporation Copy of the Board Resolution for investment in securities market Authorised signatories list with specimen signatures
Partnership firm	<ul style="list-style-type: none"> Copy of the balance sheets for the last 2 financial years (to be submitted every year) Certificate of registration (for registered partnership firms only) Copy of partnership deed Authorised signatories list with specimen signatures Photograph, POI, POA, PAN of Partners
Trust	<ul style="list-style-type: none"> Copy of the balance sheets for the last 2 financial years (to be submitted every year) Certificate of registration (for registered trusts only). Copy of Trust deed List of trustees certified by managing trustees/CA Photograph, POI, POA, PAN of Trustees
HUF	<ul style="list-style-type: none"> PAN of HUF Deed of declaration of HUF/List of coparceners Bank pass-book/bank statement in the name of HUF Photograph, POI, POA, PAN of Karta
Unincorporated Association or a body of individuals	<ul style="list-style-type: none"> Proof of Existence/Constitution document Resolution of the managing body & Power of Attorney granted to transact business on its behalf Authorized signatories list with specimen signatures
Banks/Institutional Investors	<ul style="list-style-type: none"> Copy of the constitution/registration or annual report/balance sheet for the last 2 financial years Authorized signatories list with specimen signatures.
Foreign Institutional Investors (FII)	<ul style="list-style-type: none"> Copy of SEBI registration certificate Authorized signatories list with specimen signatures
Army/Government Bodies	<ul style="list-style-type: none"> Self-certification on letterhead Authorized signatories list with specimen signatures
Registered Society	<ul style="list-style-type: none"> Copy of Registration Certificate under Societies Registration Act List of Managing Committee members Committee resolution for persons authorised to act as authorised signatories with specimen signatures True copy of Society Rules and Bye Laws certified by the Chairman/Secretary

Agreement of Residence/Driving License/Flat Maintenance bill/Insurance Copy.

- Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
- Bank Account Statement/Passbook - Not more than 3 months old.
- Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
- Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/Multinational Foreign Banks/Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly/Parliament/Documents issued by any Govt. or Statutory Authority.
- Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
- For FII/sub account, Power of Attorney given by FII/sub-account to the Custodians (which are duly notarized and/or apostilled or consularised) that gives the registered address should be taken.
- The proof of address in the name of the spouse may be accepted.

D. Exemptions/clarifications to PAN

(*Sufficient documentary evidence in support of such claims to be collected.)

- In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
- Investors residing in the state of Sikkim.
- UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
- SIP of Mutual Funds upto Rs 50,000/- p.a.
- In case of institutional clients, namely, FIIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents:

- Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
- In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy/Consulate General in the country where the client resides are permitted to attest the documents.

Details of Promoters/Partners/Authorised Signatory/Karta/Trustees and whole time directors forming a part of Know Your Client (KYC) Application Form for Non-Individuals

Name of Applicant _____ PAN of the Applicant

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Sr. No.	01	02	03	04	05
PAN					
Name					
DIN (For Directors)/ UID (For Others)					
Residential/ Registered Address					
Relationship with Applicant (i.e. promoters, whole time directors etc.)					
Whether Politically Exposed	<input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input type="checkbox"/> NO	<input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input type="checkbox"/> NO	<input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input type="checkbox"/> NO	<input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input type="checkbox"/> NO	<input type="checkbox"/> PEP <input type="checkbox"/> RPEP <input type="checkbox"/> NO
	Photograph	Photograph	Photograph	Photograph	Photograph

 ④ _____

Name & Signature of the Authorised Signatory(ies)

Date

d	d
---	---

 /

m	m
---	---

 /

y	y	y	y
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PEP: Politically Exposed Person

REP: Related to Politically Exposed Person

ONLY FOR HUF CLIENTS

DATE : _____

LIST OF CO-PARCENERS

S. No.	Name	Sex	Date of Birth	Relation	Signature
1.				KARTA	
2.					
3.					
4.					
5.					
6.					
7.					
8.					
9.					

*** In Case of Minor Guardian Can Sign
Name & Signature With Seal (Karta-HUF)**

Group Code & Name: _____ City _____ Remiser Code & Name: _____
 Client-ID (to be filled by DP) _____ Client Code/S2K ID _____
 I/We request you to open a ☐ Trading & Demat Account ☐ Trading Account

A. TYPE OF ACCOUNT (Please tick whichever is applicable)

☐ Body Corporate ☐ FI ☐ FII ☐ Qualified Foreign Investor ☐ Mutual Fund ☐ Trust ☐ Bank ☐ CM ☐ Others _____
☐ HUF ☐ Partnership Firm ☐ Registered Trust ☐ Unregistered Trust ☐ AOP ☐ LLP

B. DETAILS OF ACCOUNT HOLDERS IN CASE OF TRADING ACCOUNT (Please fill all the details in CAPITAL letters only)

Name of the Client _____

C. DETAILS OF ACCOUNT HOLDERS IN CASE OF DEPOSITORY ACCOUNT

(In Case of Partnership/LLP/AOP/Trust/HUF, account would be in the name of partner or trustee or karta)

Sole/First Holder/Client _____
 Second Holder _____
 Third Holder _____
 Preferred User ID (1) _____ (2) _____ (3) _____

D. STANDING INSTRUCTIONS

1. Consent to receive credits automatically into my/our Account. (If not ticked, the default option would be "Yes")	<input type="checkbox"/> Yes <input type="checkbox"/> No.
2. Account to be operated through power of attorney (POA)	<input type="checkbox"/> Yes <input type="checkbox"/> No.
3. Consent to send Electronic Transaction-cum-Holding Statement at Sole/First Holder's email id stated in the KYC	<input type="checkbox"/> Yes <input type="checkbox"/> No.
4. Consent to share the email ID with the RTA	<input type="checkbox"/> Yes <input type="checkbox"/> No.
5. Consent to avail of the facility of internet trading / wireless technology	<input type="checkbox"/> Yes <input type="checkbox"/> No.
6. Mode of receiving Annual Reports & Statement of Accounts <input type="checkbox"/> Physical <input type="checkbox"/> Electronic <input type="checkbox"/> Both Physical & Electronic (For all online clients or if not ticked, the default option would be Electronic) (Applicable for Demat Account)	
7. Mode of receiving Contract Notes & Statement of Accounts <input type="checkbox"/> Physical <input type="checkbox"/> Electronic (For all online clients or if not ticked, the default option would be Electronic) (Applicable for Trading Account)	
8. Account Statement Requirement <input type="checkbox"/> As per SEBI Regulation <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly	

E. BANK ACCOUNT DETAILS

DEFAULT BANK	ADDITIONAL BANK
Name & Address : _____	Name & Address : _____
Account No. _____	Account No. _____
Account Type <input type="checkbox"/> Saving <input type="checkbox"/> Current <input type="checkbox"/> Other: _____	Account Type <input type="checkbox"/> Saving <input type="checkbox"/> Current <input type="checkbox"/> Other: _____
MICR Code _____	MICR Code _____
IFSC Code _____	IFSC Code _____

F. DEPOSITORY ACCOUNT DETAILS (Transactions would be generally routed through the below demat account.) (Default for Payout)

Depository : NSDL ☐ CDSL ☐ DP Name: _____
 Beneficiary Name: _____ DP ID: _____ BO ID: _____

G. OPTION FOR ISSUANCE OF DIS BOOKLET (*Please refer to the details in Tariff Sheet)

Option 1 : ☐ I/we wish to receive the Delivery Instruction Slip (DIS) booklet with account opening.
 Option 2 : ☐ I/we do not wish to receive the Delivery Instruction Slip (DIS) booklet with account opening. However, the DIS booklet should be issued to me/us immediately on my/our request at any later date.







Details of any action/proceedings initiated / pending /taken by SEBI/Stock Exchange /any other authority against the Client or its partners/Promoters/Whole Time Director/Authorised Signatory in charge of dealing in securities during the last 3 years for violation of securities law/other economic offences (including action taken against relatives/associates) _____

H. INVESTMENT / TRADING EXPERIENCE & PREFERENCE

☐ No Prior Investment Experience ☐ Years in Equities ☐ Years In Derivatives ☐ Years in other Investment Related Field
Please sign in the relevant boxes where you wish to trade. The segment not chosen should be struck off by the client Stock Exchanges on which you wish to trade

I. TRADING PREFERENCES :

Please Sign in the relevant boxes where you wish to trade. The segment not chosen should be struck off by the client -

Exchanges	Segments	Signature	Segments	Signature
NSE	Cash		Future & Options	
BSE	Cash		Future & Options	
NSE(CDS)	Currency Derivatives			
MSEI	Currency Derivatives			

If in future the client wants to trade on any new segments / new exchange, separate authorisation letter should be submitted by the client to the stock broker.

J. SMS Alert facility

Refer to Terms and Conditions
(provided on website of the company)

Mobile No. +91 _____
(Mandatory, if you are giving Power of Attorney {POA})
(If POA is not granted and you do not wish to avail this facility, ignore this option)

K. Transaction Using Secured texting facility

(Trust)
Refer to Terms and Conditions
(provided on website of the company)

I wish to avail the TRUST facility using the mobile no. registered for SMS Alert facility.
I have read and understood the terms and conditions prescribed by CDSL for the same.
Yes ☐ No ☐
I/We wish to registered the following clearing member IDs under my/our below mention BO ID
registered for TRUST

Stock Exchange Name / ID	Clearing Member Name	Clearing Member ID (Optional)

L. Easi

To Register for easi, please visit our website **www.cdslindia.com**
easi allow a BO to view his ISIN balances, transactions and value of the portfolio online

M. INTRODUCER DETAILS

Name & Address of the Introducer:

Status of the Introducer: Sub-broker/ Remisier/ Authorised Person/ Existing Client/ Director or Employee of Trading Member/ any other Person
(Please Specify) _____ Mobile No. / Tel. No. : _____

Proof of Identity (POI): ☐ PAN No. ☐ Passport No. ☐ Driving Licence ☐ Voter ID _____

Signature: _____

	FIRST HOLDER	SECOND HOLDER	THIRD HOLDER
Gross Income Range	<input type="checkbox"/> <1 <input type="checkbox"/> 1-5 <input type="checkbox"/> 5-10	<input type="checkbox"/> <1 <input type="checkbox"/> 1-5 <input type="checkbox"/> 5-10	<input type="checkbox"/> <1 <input type="checkbox"/> 1-5 <input type="checkbox"/> 5-10
Per Annum (Rs. in Lakhs)	<input type="checkbox"/> 10-25 <input type="checkbox"/> 25-1cr <input type="checkbox"/> >1cr	<input type="checkbox"/> 10-25 <input type="checkbox"/> 25-1cr <input type="checkbox"/> >1cr	<input type="checkbox"/> 10-25 <input type="checkbox"/> 25-1cr <input type="checkbox"/> >1cr
Networth: (should not be older than 1 year)	Amount (Rs.) _____ As on date <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	Amount (Rs.) _____ As on date <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>	Amount (Rs.) _____ As on date <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Sources of Wealth / Income	<input type="checkbox"/> Business <input type="checkbox"/> Gift <input type="checkbox"/> Royalty <input type="checkbox"/> Rental Income <input type="checkbox"/> Prize Money <input type="checkbox"/> Ancestral Property <input type="checkbox"/> Others (Please specify) _____	<input type="checkbox"/> Business <input type="checkbox"/> Gift <input type="checkbox"/> Royalty <input type="checkbox"/> Rental Income <input type="checkbox"/> Prize Money <input type="checkbox"/> Ancestral Property <input type="checkbox"/> Others (Please specify) _____	<input type="checkbox"/> Business <input type="checkbox"/> Gift <input type="checkbox"/> Royalty <input type="checkbox"/> Rental Income <input type="checkbox"/> Prize Money <input type="checkbox"/> Ancestral Property <input type="checkbox"/> Others (Please specify) _____
Details in case of Employed /Business / Professional (Name of Employer / Establishment & Address & Nature of Business)	Name & Add. : _____ _____ _____ Nature : _____	Name & Add. : _____ _____ _____ Nature : _____	Name & Add. : _____ _____ _____ Nature : _____

Additional Details, if applicable. (Please tick one or more as applicable)	<input type="checkbox"/> Politically Exposed Person(PEP) <input type="checkbox"/> Related to a Politically Exposed Person (RPEP)	<input type="checkbox"/> Politically Exposed Person(PEP) <input type="checkbox"/> Related to a Politically Exposed Person (RPEP)	<input type="checkbox"/> Politically Exposed Person(PEP) <input type="checkbox"/> Related to a Politically Exposed Person (RPEP)
Any other information			
Mobile Number Declaration	I hereby declare that the Mobile number as per KRA/ KYC belongs to <input type="checkbox"/> Director <input type="checkbox"/> Promoter <input type="checkbox"/> Authorised Signatory <input type="checkbox"/> Partner <input type="checkbox"/> Karta <input type="checkbox"/> Trustee <input type="checkbox"/> Others _____	I hereby declare that the Mobile number as per KRA/ KYC belongs to <input type="checkbox"/> Director <input type="checkbox"/> Promoter <input type="checkbox"/> Authorised Signatory <input type="checkbox"/> Partner <input type="checkbox"/> Karta <input type="checkbox"/> Trustee <input type="checkbox"/> Others _____	I hereby declare that the Mobile number as per KRA/ KYC belongs to <input type="checkbox"/> Director <input type="checkbox"/> Promoter <input type="checkbox"/> Authorised Signatory <input type="checkbox"/> Partner <input type="checkbox"/> Karta <input type="checkbox"/> Trustee <input type="checkbox"/> Others _____
Email ID Declaration	I hereby declare that the Email ID as per KRA/ KYC belongs to <input type="checkbox"/> Director <input type="checkbox"/> Promoter <input type="checkbox"/> Authorised Signatory <input type="checkbox"/> Partner <input type="checkbox"/> Karta <input type="checkbox"/> Trustee <input type="checkbox"/> Others _____	I hereby declare that the Email ID as per KRA/ KYC belongs to <input type="checkbox"/> Director <input type="checkbox"/> Promoter <input type="checkbox"/> Authorised Signatory <input type="checkbox"/> Partner <input type="checkbox"/> Karta <input type="checkbox"/> Trustee <input type="checkbox"/> Others _____	I hereby declare that the Email ID as per KRA/ KYC belongs to <input type="checkbox"/> Director <input type="checkbox"/> Promoter <input type="checkbox"/> Authorised Signatory <input type="checkbox"/> Partner <input type="checkbox"/> Karta <input type="checkbox"/> Trustee <input type="checkbox"/> Others _____
FATCA Declaration			
Is your Tax Residency/ Country of Birth /Citizenship /Nationality other than India?	<input type="checkbox"/> Yes <input type="checkbox"/> No (If Yes, please specify) Country of Birth _____ Citizenship _____ Nationality _____	<input type="checkbox"/> Yes <input type="checkbox"/> No (If Yes, please specify) Country of Birth _____ Citizenship _____ Nationality _____	<input type="checkbox"/> Yes <input type="checkbox"/> No (If Yes, please specify) Country of Birth _____ Citizenship _____ Nationality _____

If yes, please indicate all countries in which you are resident for tax purpose and the associated Tax ID number below:

Country of Tax Residency#			
Tax Identification Number*			
Identification Type			
Country of Tax Residency#			
Tax Identification Number*			
Identification Type			

#To include all countries other than India, where investor is Citizen/Resident/Green Card Holder/Tax Resident in those respective countries especially of USA.

*Incase tax identification number is not available, kindly provide its functional equivalent

N. DEALINGS THROUGH SUB-BROKERS/AUTHORISED PERSON & OTHER STOCK BROKERS ☐ No ☐ Yes (If yes, please mention details below)

Name of Sub-broker/ Authorised Person : _____

Registration No: NSE

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 BSE

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 MSEI

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R.O.Address: _____ Tel.: _____ Fax: _____ Website: _____

Whether registered with any other Stock Broker / Sub-broker/Authorised Person (If registered with multiple Stock Broker/Sub-broker, provide all details)

Name of Broker: _____ Name of Sub Broker/AP: _____

Name of Exchange: _____ Client Code No.: _____

DECLARATION

- I/we hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case of any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I may be held liable for it.
- I/we confirm having read, explained and understood the contents of policies and procedures, terms & conditions governing Stock Broker, Risk Disclosure Documents & Do's & Don'ts for trading on the Exchanges, Rights and Obligations applicable for Stock Brokers, Sub-Brokers & Clients as well as Rights and Obligations applicable for the Beneficial Owner & Depository Participants as available on the website i.e. www.indothai.co.in of the company. I am further aware that a copy of terms & conditions governing Stock Broker, Risk Disclosure Document, Do's & Don'ts for trading on the Exchanges and Rights and Obligations applicable for Stock Brokers, Sub-Brokers & Clients as well as Rights and Obligations applicable for the Beneficial Owner & Depository Participants will be received by me/us in electronic form on the email ID provided by me / us in the KYC Document.
- I/we have read and agree to be bound by the Rules, Regulations, bye laws, circulars and guidelines issued by SEBI, Exchanges, Stock Broker, AMFI, Mutual Funds, Depository and Depository Participant pertaining to my trading and demat account, as are in force from time to time.

4. I/We understand that the Stock Broker is relying on this information for the purpose of determining the status of the applicants named above in compliance with CRS/FATCA. The Stock Broker is not able to offer any tax advice on CRS or FATCA or its impact on the applicants and I/We shall seek advice from professional tax advisor for any tax questions. Further, I/We agree to submit a new form within 30 days if any information or certification on this form gets changed. I/We agree, as may be required by Regulatory authorities, Stock Broker shall be required to comply to report, reportable details to CDBT or close or suspend my account.
5. I/we confirm having read and understood the guidelines pertaining to BSDA and is eligible to open a depository account as a BSDA holder. I will comply with the said guidelines and that in case my/our Demat Account opened under BSDA facility does not meet the eligibility for BSDA facility as per guidelines issued by SEBI or any such authority at any point of time, my / our BSDA account will be converted to Regular Demat Account without further reference to me / us and will be levied charges as applicable to regular accounts (applicable only if consented for BSDA facility).

FOR OFFICE PURPOSES

UCC Code allotted to the Client:



	Documents verified with Originals	Client Interviewed By	In-Person Verification done by
Name of the Staff/ Sub-Broker/ Authorised Person			
Staff Code			
Designation of the Staff			
Date			
Signature			

I/ We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. I/ We have also made the client aware of 'Rights and Obligations' document(s) of stock broker and depository participant, RDD and guidance note and terms and conditions provided a softcopy of the same. I/ We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/ We also undertake that any change in the 'Rights and Obligations', Terms and Conditions and RDD would be made available on our website, if any, for the information of the clients.

For : **Indo Thai Securities Ltd.**

Signature of Authorised Signatory

Date : ____ / ____ / ____

	Name(s) of holder(s)/client	Designation	Specimen Signature(s) with Co. Rubber Stamp
Sole/First Holder			
First Signatory			
Second Signatory			
Third Signatory			

Mode of Operation for Authorised Signatories (in case of Multiple Signatories, all the holders must sign)

<input type="checkbox"/> Any one singly		<input type="checkbox"/> As per resolution	
<input type="checkbox"/> Jointly		<input type="checkbox"/> Others (please specify)	

TARIFF SHEET

CASH				
EQUITY CASH SEGMENT				
BROKERAGE	NSE		BSE	
	Normal %	Minimum Paisa	Normal %	Minimum Paisa
Daily Square up				
Delivery Based				

FUTURES				
EQUITY (FUTURES) DERIVATIVES SEGMENT				
BROKERAGE	NSE FUTURES		BSE FUTURES	
	Normal %	Minimum Paisa	Normal %	Minimum Paisa
Daily Square up				
Carry Forward				

OPTIONS				
EQUITY (OPTIONS) DERIVATIVES SEGMENT				
BROKERAGE	NSE OPTIONS		BSE OPTIONS	
	Minimum Rs. Per Lot	Normal %	Minimum Rs. Per Lot	Normal %
Daily Square up				
Carry Forward				

FUTURES & OPTIONS								
CURRENCY DERIVATIVES SEGMENT								
BROKERAGE	NSE (FUTURES)		MSEI (FUTURES)		NSE (OPTIONS)		MSEI (OPTIONS)	
	Mini. Rs.	Normal %	Min.Rs.	Normal %	Min.Rs.	Normal %	Min.Rs.	Normal %
Daily Square up								
Carry Forward								
Per Lot								

OTHER CHARGES

(Includes applicable Securities Transactions Tax, SEBI Turnover Fees, Stamp Duty and Exchange Transaction Charges)

BROKERAGE	NSE					BSE			MSEI	
	Cash	Futures	Options	CDS-Fut.	CDS-Opt	Cash	Futures	Options	Futures	Options
Daily Square up										
Delivery Based										
Carry Forward										
Per Lot										

NOTES:

1. In Addition to above, Service Tax as applicable (including cess) on brokerage charged to client is payable by client.
2. In case of sale of option in securities, where option is exercised STT is payable@0.125% on trade value.
3. All statutory levies mentioned above are subject to change from time to time by relevant authority (ies).

Signature of Client  _____

Name of Client _____

Date _____ Place _____

Schedule of Charges for Depository Account

S. No.	Particulars	Tariff-Scheme A (With or without POA)	Tariff-Scheme B (With POA)	Tariff-Scheme C (With POA)	Tariff-Scheme D (With POA)
1.	KYC & Stationary charges	Nil	Nil	Nil	Nil
2.	Stamp & Legal Charges	Nil	Nil	Nil	Nil
3.	POA Stamp & Legal charges	Rs.100/-	Rs.100/-	Rs.100/-	Rs.100/-
4.	Annual Account Maintenance charges	Rs.300/- P.A.	Rs.655/- for 3 Years	Rs.999/- for 5 Years	Interest free Deposit of Rs. 10,000/- non refundable
4A	Corporate	Rs. 1500/- P.A.	Rs. 1500/- P.A.	Rs. 1500/- P.A.	Rs. 1500/- P.A.
5.	D-mat Charges	Rs. 20/- Per Certificate+ Courier Charges Rs.50/-, Min. 10/- per request.	Rs. 20/- Per Certificate+ Courier Charges Rs.50/-, Min. 10/- per request.	Rs. 20/- Per Certificate+ Courier Charges Rs.50/-, Min. 10/- per request.	Rs. 20/- Per Certificate+ Courier Charges Rs.50/-, Min. 10/- per request.
6.	R-mat Charges	Rs. 25/- per certificate for every 100 securities or part there of, whichever is higher, Max. Rs.5,00,000/-+ Rs.50/- courier charges.	Rs. 25/- per certificate for every 100 securities or part there of, whichever is higher, Max. Rs.5,00,000/-+ Rs. 50/- courier charges.	Rs. 25/- per certificate for every 100 securities or part there of, whichever is higher, Max. Rs.5,00,000/-+ Rs. 50/- courier charges.	Rs. 25/- per certificate for every 100 securities or part there of, whichever is higher, Max. Rs.5,00,000/-+ Rs. 50/- courier charges.
7.	Transaction Charges : (Per ISIN) Purchase/Credit	Nil	Nil	Nil	Nil
7A	Transaction Charges (Per ISIN) Sell within Indo Thai Group	Rs.10/- or 0.03% of Market Value (With POA), Rs. 20/- or 0.03% of Market Value (Without POA)	Rs.10/- or 0.03% of Market Value	Rs.10/- or 0.03% of Market Value	Nil
7B	Transaction Charges:	Rs.20/- or 0.03% of Market Value	Rs.30/- or 0.03% of Market Value	Rs.30/- or 0.03% of Market Value	Rs.30/- or 0.03% of Market Value
8.	Pledge Creation (Per ISIN)	Min. Rs. 50/-	Min. Rs. 50/-	Min. Rs. 50/-	Min. Rs. 50/-
9.	Pledge Closing (Per ISIN)	Min. Rs. 50/-	Min. Rs. 50/-	Min. Rs. 50/-	Min. Rs. 50/-
10.	Pledge Invocation (Per ISIN)	Min. Rs. 50/-	Min. Rs. 50/-	Min. Rs. 50/-	Min. Rs. 50/-
11.	Free Statement of Account	In case of Transaction-Monthly for Nil Transactions Yearly	In case of Transaction-Monthly for Nil Transactions Yearly	In case of Transaction-Monthly for Nil Transactions Yearly	In case of Transaction-Monthly for Nil Transactions Yearly
12.	Extra Statement on Request	Rs. 25/- for Physical Statement, Nil for electronic Statement	Rs. 25/- for Physical Statement, Nil for electronic Statement	Rs. 25/- for Physical Statement, Nil for electronic Statement	Rs. 25/- for Physical Statement, Nil for electronic Statement

Notes :

- All the charges would be calculated for the transactions as Computed by CDSL.
- All taxes / levies / Cess as applicable will be charged extra.
- Annual Maintenance Charges are due for 7 days or more, the execution of delivery instructions may be stopped.
- In case the outstanding charges are due for 7 days or more, the execution of delivery instructions may be stopped.
- Rs.50/- will be charged for issue of new DIS booklet in lieu of the one lost by BO
- Failed instruction Rs. 10/-
- Freeze and unfreeze charges Rs.50/-
- On request of loose DIS charges will be Rs.20/-
- Rates are subject to revision from CDSL, Further Indo Thai Securities Limited reserves the right to amend the tariff at any given point of time.
- Client master modification charges Rs. 50/- per request.

BO ID No : 12050900

Client Trading Code No.

I / We opt for the Tariff Scheme

☐ A ☐ B ☐ C ☐ D (Tick as applicable)

Signature/s BO

1st Holder 

2nd Holder 

3rd Holder 

/ We agree & enclose a Cheque No. _____ Dated _____ of Rs. _____

Drawn on bank _____ against above option.

**RIGHTS AND OBLIGATIONS OF BENEFICIAL OWNER AND DEPOSITORY PARTICIPANT AS
PRESCRIBED BY SEBI AND DEPOSITORIES**

General Clause

1. The Beneficial Owner and the Depository participant (DP) shall be bound by the provisions of the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996, Rules and Regulations of Securities and Exchange Board of India (SEBI), Circulars/Notifications/Guidelines issued there under, Bye Laws and Business Rules/Operating Instructions issued by the Depositories and relevant notifications of Government Authorities as may be in force from time to time.
2. The DP shall open/activate demat account of a beneficial owner in the depository system only after receipt of complete Account opening form, KYC and supporting documents as specified by SEBI from time to time.

Beneficial Owner information

3. The DP shall maintain all the details of the beneficial owner(s) as mentioned in the account opening form, supporting documents submitted by them and/or any other information pertaining to the beneficial owner confidentially and shall not disclose the same to any person except as required by any statutory, legal or regulatory authority in this regard.
4. The Beneficial Owner shall immediately notify the DP in writing, if there is any change in details provided in the account opening form as submitted to the DP at the time of opening the demat account or furnished to the DP from time to time.

Fees/Charges/Tariff

5. The Beneficial Owner shall pay such charges to the DP for the purpose of holding and transfer of securities in dematerialized form and for availing depository services as may be agreed to from time to time between the DP and the Beneficial Owner as set out in the Tariff Sheet provided by the DP. It may be informed to the Beneficial Owner that "no charges are payable for opening of demat accounts"
6. In case of Basic Services Demat Accounts, the DP shall adhere to the charge structure as laid down under the relevant SEBI and/or Depository circulars/directions/notifications issued from time to time.
7. The DP shall not increase any charges/tariff agreed upon unless it has given a notice in writing of not less than thirty days to the Beneficial Owner regarding the same.

Dematerialization

8. The Beneficial Owner shall have the right to get the securities, which have been admitted on the Depositories, dematerialized in the form and manner laid down under the Bye Laws, Business Rules and Operating Instructions of the depositories.

Separate Accounts

9. The DP shall open separate accounts in the name of each of the beneficial owners and securities of each beneficial owner shall be segregated and shall not be mixed up with the securities of other beneficial owners and/or DP's own securities held in dematerialized form.
10. The DP shall not facilitate the Beneficial Owner to create or permit any pledge and /or hypothecation or any other interest or encumbrance over all or any of such securities submitted for dematerialization and/or held in demat account except in the form and manner prescribed in the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996 and Bye-Laws/Operating Instructions/Business Rules of the Depositories.

Transfer of Securities

11. The DP shall effect transfer to and from the demat accounts of the Beneficial Owner only on the basis of an order, instruction, direction or mandate duly authorized by the Beneficial Owner and the DP shall maintain the original documents and the audit trail of such authorizations.
12. The Beneficial Owner reserves the right to give standing instructions with regard to the crediting of securities in his demat account and the DP shall act according to such instructions.

Statement of account

13. The DP shall provide statements of accounts to the beneficial owner in such form and manner and at such time as agreed with the Beneficial Owner and as specified by SEBI/depository in this regard.
14. However, if there is no transaction in the demat account, or if the balance has become Nil during the year, the DP shall send one physical statement of holding annually to such BOs and shall resume sending the transaction statement as and when there is a transaction in the account.
15. The DP may provide the services of issuing the statement of demat accounts in an electronic mode if the Beneficial Owner so desires. The DP will furnish to the Beneficial Owner the statement of demat accounts under its digital signature, as governed under the Information Technology Act, 2000. However if the DP does not have the facility of providing the statement of demat account in the electronic mode, then the Participant shall be obliged to forward the statement of demat accounts in physical form.
16. In case of Basic Services Demat Accounts, the DP shall send the transaction statements as mandated by SEBI and/or Depository from time to time.

1st Holder  2nd Holder  3rd Holder 

Manner of Closure of Demat account

17. The DP shall have the right to close the demat account of the Beneficial Owner, for any reasons whatsoever, provided the DP has given a notice in writing of not less than thirty days to the Beneficial Owner as well as to the Depository. Similarly, the Beneficial Owner shall have the right to close his/her demat account held with the DP provided no charges are payable by him/ her to the DP. In such an event, the Beneficial Owner shall specify whether the balances in their demat account should be transferred to another demat account of the Beneficial Owner held with another DP or to rematerialize the security balances held.
18. Based on the instructions of the Beneficial Owner, the DP shall initiate the procedure for transferring such security balances or rematerialize such security balances within a period of thirty days as per procedure specified from time to time by the depository. Provided further, closure of demat account shall not affect the rights, liabilities and obligations of either the Beneficial Owner or the DP and shall continue to bind the parties to their satisfactory completion.

Default in payment of charges

19. In event of Beneficial Owner committing a default in the payment of any amount provided in Clause 5 & 6 within a period of thirty days from the date of demand, without prejudice to the right of the DP to close the demat account of the Beneficial Owner, the DP may charge interest at a rate as specified by the Depository from time to time for the period of such default.
20. In case the Beneficial Owner has failed to make the payment of any of the amounts as provided in Clause 5&6 specified above, the DP after giving two days notice to the Beneficial Owner shall have the right to stop processing of instructions of the Beneficial Owner till such time he makes the payment along with interest, if any.

Liability of the Depository

21. As per Section 16 of Depositories Act, 1996,
 1. Without prejudice to the provisions of any other law for the time being in force, any loss caused to the beneficial owner due to the negligence of the depository or the participant, the depository shall indemnify such beneficial owner.
 2. Where the loss due to the negligence of the participant under Clause (1) above, is indemnified by the depository, the depository shall have the right to recover the same from such participant.

Freezing/Defreezing of accounts

22. The Beneficial Owner may exercise the right to freeze/defreeze his/her demat account maintained with the DP in accordance with the procedure and subject to the restrictions laid down under the Bye Laws and Business Rules/Operating Instructions.
23. The DP or the Depository shall have the right to freeze/defreeze the accounts of the Beneficial Owners on receipt of instructions received from any regulator or court or any statutory authority.

Redressal of Investor grievance

24. The DP shall redress all grievances of the Beneficial Owner against the DP within a period of thirty days from the date of receipt of the complaint.

Authorized representative

25. If the Beneficial Owner is a body corporate or a legal entity, it shall, along with the account opening form, furnish to the DP, a list of officials authorized by it, who shall represent and interact on its behalf with the Participant. Any change in such list including additions, deletions or alterations thereto shall be forthwith communicated to the Participant.

Law and Jurisdiction

26. In addition to the specific rights set out in this document, the DP and the Beneficial owner shall be entitled to exercise any other rights which the DP or the Beneficial Owner may have under the Rules, Bye Laws and Regulations of the respective Depository in which the demat account is opened and circulars/notices issued there under or Rules and Regulations of SEBI.
27. The provisions of this document shall always be subject to Government notification, any rules, regulations, guidelines and circulars/ notices issued by SEBI and Rules, Regulations and Bye-laws of the relevant Depository, where the Beneficial Owner maintains his/ her account, that may be in force from time to time.
28. The Beneficial Owner and the DP shall abide by the arbitration and conciliation procedure prescribed under the Bye-laws of the depository and that such procedure shall be applicable to any disputes between the DP and the Beneficial Owner.
29. Words and expressions which are used in this document but which are not defined herein shall unless the context otherwise requires, have the same meanings as assigned thereto in the Rules, Bye-laws and Regulations and circulars/notices issued there under by the depository and/or SEBI.
30. Any changes in the rights and obligations which are specified by SEBI/Depositories shall also be brought to the notice of the clients at once.
31. If the rights and obligations of the parties hereto are altered by virtue of change in Rules and regulations of SEBI or Bye-laws, Rules and Regulations of the relevant Depository, where the Beneficial Owner maintains his/her account, such changes shall be deemed to have been incorporated herein in modification of the rights and obligations of the parties mentioned in this document.




VOLUNTARY DOCUMENTS

VOLUNTARY DOCUMENTS	POWER OF ATTORNEY
STAMP	

(To be signed only by clients having their trading account with in Indo Thai Securities Ltd.)


Power of attorney for pay-in of securities for the purpose of settlement obligation

To all to whom these present shall come I / We _____ whereas

I/We hold a Beneficiary Owner Account No. 12050900 _____ (BO-ID) with Central Depository Services (India) Limited, through Indo Thai Securities Ltd, bearing DP-ID-12050900 and whereas I/We am /are an investor (s) engaged in buying and selling of securities through Indo Thai Securities Ltd a member of **NSE (SEBI Regd. No. INB 230776739), BSE (SEBI Regd. No. INB010776731)** and Trading Account (client code) _____ and whereas due to exigency and paucity of time, I/ We am/are desirous of appointing an agent /attorney to operate the aforesaid beneficiary account on my /our behalf for a limited purpose in the manner hereinafter appearing.  _____

NOW KNOW WE ALL AND THESE PRESENTS WITNESS THAT I/WE THE ABOVE NAMED DO HEREBY NOMINATE CONSTITUTE AND APPOINT INDO THAI SECURITIES LTD. as my/our true and lawful attorney (hereinafter referred to as the attorney) for me /us and on my/our name to do, at my/our risk and costs, the all such acts, deeds and things and exercise the following powers and authorities and give all such instructions as mentioned below concerning the said account as I/we myself/ ourselves could give if I/we was/were personally present.

1. To instruct the DP to debit securities to said account and/ or transfer securities from the said account, to the extent of shares sold through Indo Thai Securities Limited for pay-in/settlement obligations as well as for margins toward any stock exchange both in cash and derivatives segments to any of the demat account(s) existing as of the day or which maybe opened in future by Indo Thai Securities Limited for above purpose(s). The details of accounts are as under:

S.No.	DP Name	Account Type	D.P. ID	CLIENT ID	Signature
1	Indo Thai Securities Ltd.	Pool a/c	12050900	00000305	
2	Indo Thai Securities Ltd.	Client Beneficiary A/c	12050900	00000324	
3	Indo Thai Securities Ltd.	Principal a/c	12050900	00019124	
4	Indo Thai Securities Ltd.	Early pay-in (NSE)	11000011	00016871	
5	Indo Thai Securities Ltd.	Early pay-in (BSE)	11000010	00020744	
6	Indo Thai Securities Ltd.	CM MTF A/c	12050900	00057046	
7	Indo Thai Securities Ltd.	MTF Collateral A/c	12050900	00057430	

2. To return the securities to me/my accounts by Indo Thai Securities Ltd.(as stock broker) that may have been received by it (i.e., stock broker) erroneously or those securities that it (i.e., stock broker) was not entitled from me.
3. To authorize Indo Thai Securities Ltd. To send in a daily basis, consolidated summary of my scrip-wise buy and sell position taken with average rates to me by way SMS/email on my mobile phone/email, if any, as intimated by me from time to time, notwithstanding any other document to be disseminated as specified by SEBI from time to time. And I/we hereby agree and reconfirm that the above powers and authorities shall include the authority to debit securities to the said account and/or transfer securities from the said account and/ or transfer securities from the said account, to the extent of shares and sold through Indo Thai Securities Ltd. for pay-in obligations well as for margins toward any stock exchange
 - i. For which new membership is taken and/or
 - ii. For which any new account is opened




by Indo Thai Securities Ltd., both in cash and derivatives segments. The account number/s of such account numbers shall form a part of this POA.

And further I/we hereby agree and confirm that the powers and authorities conferred by this "Power of Attorney" shall continue until I/we have given intimation in writing to the contrary, to the DP. However such revocation shall not be applicable for any outstanding margin/delivery obligation arising out of the trades carried out prior to receiving request for revocation POA.

I/ we the above named do hereby further nominate, constitute and appoint Indo Thai Securities Ltd. as my/our true and lawful Attorney (s) [herein after referred to as "The Attorney (s)" for me/us and on my /our behalf and in my/our name to do, at my/our risk and costs, the all such acts, deeds and things and exercise the following powers and give all such instructions as mentioned below concerning the said account as I/we myself/ourselves could give if I/we was/were personally present.

- A. To apply for (both allotment or redemption of) various products like Mutual Funds, Public issues(shares as well as debentures),rights, offer of shares, tendering shares in open offers etc. pursuant to the instruction given by me.
- B. To pledge the securities held by me/us in my/our account or held by Indo Thai Securities Ltd. on my/our behalf in favour of any exchange and/or Indo Thai Securities Ltd. and Indo Thai Securities Ltd. may deem fit for the purpose of meeting my/our margin/ settlement requirements pertaining to the trades executed by me/us on any stock exchange through Indo Thai Securities Ltd.




(Signed and Delivered - by the within named)

1st holder  _____
2nd holder  _____
3rd holder  _____

Witness 1. Signature _____
Name _____
Address _____

Place : _____
Date : ____/____/_____
Registration No.: _____

Signature of Co-Parceners in case of HUF

1  _____
2  _____
3  _____

Witness2. Signature _____
Name _____
Address _____

For **INDO THAI SECURITIES LTD.**

Signature of Authorised Signatory
Date : ____/____/_____

To,

INDO THAI SECURITIES LIMITED

"CAPITAL TOWER" 2nd Floor, Plot No. 169A-171, PU-4,

Scheme No. 54, INDORE - 452010 (M.P.)

Dear Sir,

1. Mandate to issue contracts in Digital format and ECN Consent

I/We hereby agree and consent to accept the contract notes for transactions carried on by me/us with you, in terms of the mandatory and voluntary client registration document entered into between us, in Digital form, Digital contracts issued by you as per the terms and conditions mentioned at the end of this letter shall be binding on me. I/We undertake to check the contract notes and bring the discrepancies to your notice preferably within 24 hours of such issuance of contract notes. Non verification or not accessing the contract notes on regular basis shall not be reason for disputing the contract notes at any time. This instruction to issue digital contract note is applicable with immediate effect.

ECN Consent : I/We hereby authorize you to send all my contract notes, bills, other Delivery Position, ledger statement to my/our below mention e-mail id therefore please do not dispatch physical copy of my contract notes / bills / ledger statement at my postal address.

My/our E-mail ID is _____

Alternate E-mail ID is _____

(Any change in E-mail ID shall be communicated by me / us through a physical letter to you)

2. Authority to debit the demat accounting charges

Beneficiary Client ID _____

Trading Account Code _____

We have a beneficiary & trading account with Indo Thai Securities Limited with client ID & trading code as mentioned above for investment and trading purpose. I hereby authorize you to debit the trading account maintained with Indo Thai Securities Ltd. for the demat charges payable to Indo Thai Securities Ltd., As depository participant for providing depository services any such sum debited to my/our account shall be binding on me/us.

3. I/We are dealing in Securities with you at NSE, BSE & MSEI in CM/Derivatives & Currency Derivatives Segment in order to facilitate ease of operations, I/We authorised you as under :

- a. I/We request you to maintain running balance in my account & retain the credit balance in any of my/our account and to use the unused funds towards my/our margin/pay-in/other future obligation(s) at any segment(s) of any or all the Exchange(s)/Clearing corporation.
- b. I/We request you to retain securities with you for my/our margin/pay-in/other future obligation(s) at any segment(s) of any or all the Exchange(s)/Clearing corporation, unless I/we instruct you to transfer the same to my/our account.
- c. I/We request you to settle my fund and securities account except the funds given towards collaterals/margin in form of Bank Guarantee and/or Fixed Deposit Receipts & in the case of margin trading facility also, as below:

Calendar Quarter ☐ Max Gap Should be <90 days

Calendar Month ☐ Max Gap Should be <30 days
- d. In case I/we have an outstanding obligation on the settlement date, you may retain the requisite securities/funds towards such obligations and may also retain the funds expected to meet margin obligations for next 5 trading days, calculated in the manner specified by the exchanges.
- e. I/We confirm you that I will bring to your notice any dispute arising from the statement of account or settlement so made in writing preferably within 7 working days from the date of receipt of funds/securities or statement of account or statement related to it, as the case may be at your registered office.

I/We confirm you that I can revoke the above mentioned authority at any time by giving written notice to your registered office.
- f. Transfer my/our debit/credit balance to my/our another account maintained in capital market with you.
- g. I do not require separate confirmation slip for order/trade alteration/modification/cancellation/confirmation.
- h. I also authorised you debit the delay payment charges for the debit balance in my account & not settled as per exchange norms.

Client's Signature  _____

Client Code _____

Name of Client _____

Date : _____

Dear Customer,

Thank you for opting our offer to send you the contract notes in the digital Form. For availing the facility of digital contract notes following are the terms and conditions. Please sign it as an acceptance to it.

1. The Digital Contract notes will be issued in digital form in compliance with guidelines issued by SEBI/Exchange from time to time.
2. Digital Contract notes will be mailed at the E-mail address provided to us in KYC and / or the format as may be prescribed by the Exchange from time to time.
3. In case of any failure in system or errors in digital contract notes, contract notes will be issued in physical form, which shall be binding on the client.
4. Discrepancies, if any, should be sent to the branch or to email ID - compliance@indothai.co.in preferably within 24 hours of issuance of digital contract notes.
5. Any changes in the terms and conditions shall be intimated from time to time
6. Digital contact notes will also be available at www.indothai.co.in Client can view the ECN using the user name & password through the above website.

 (20)

To,

INDO THAI SECURITIES LIMITED**"CAPITAL TOWER"** 2nd Floor, Plot No. 169A-171, PU-4,Scheme No. 54, **INDORE** - 452010 (M.P.)

Dear Sir,

1. Mandate to issue contracts in Digital format and ECN Consent

I/We hereby agree and consent to accept the contract notes for transactions carried on by me/us with you, in terms of the mandatory and voluntary client registration document entered into between us, in Digital form, Digital contracts issued by you as per the terms and conditions mentioned at the end of this letter shall be binding on me. I/We undertake to check the contract notes and bring the discrepancies to your notice preferably within 24 hours of such issuance of contract notes. Non verification or not accessing the contract notes on regular basis shall not be reason for disputing the contract notes at any time. This instruction to issue digital contract note is applicable with immediate effect.

ECN Consent : I/We hereby authorize you to send all my contract notes, bills, other Delivery Position, ledger statement to my/our below mention e-mail id therefore please do not dispatch physical copy of my contract notes / bills / ledger statement at my postal address.

My/our E-mail ID is _____

Alternate E-mail ID is _____

(Any change in E-mail ID shall be communicated by me / us through a physical letter to you)

2. Authority to debit the demat accounting charges

Beneficiary Client ID _____

Trading Account Code _____

We have a beneficiary & trading account with Indo Thai Securities Limited with client ID & trading code as mentioned above for investment and trading purpose. I hereby authorize you to debit the trading account maintained with Indo Thai Securities Ltd. for the demat charges payable to Indo Thai Securities Ltd., As depository participant for providing depository services any such sum debited to my/our account shall be binding on me/us.

3. I/We are dealing in Securities with you at NSE, BSE & MSEI in CM/Derivatives & Currency Derivatives Segment in order to facilitate ease of operations, I/We authorised you as under :

- a. I/We request you to maintain running balance in my account & retain the credit balance in any of my/our account and to use the unused funds towards my/our margin/pay-in/other future obligation(s) at any segment(s) of any or all the Exchange(s)/Clearing corporation.
- b. I/We request you to retain securities with you for my/our margin/pay-in/other future obligation(s) at any segment(s) of any or all the Exchange(s)/Clearing corporation, unless I/we instruct you to transfer the same to my/our account.
- c. I/We request you to settle my fund and securities account except the funds given towards collaterals/margin in form of Bank Guarantee and/or Fixed Deposit Receipts & in the case of margin trading facility also, as below:

Calendar Quarter ☐ Max Gap Should be <90 days
 Calendar Month ☐ Max Gap Should be <30 days
- d. In case I/we have an outstanding obligation on the settlement date, you may retain the requisite securities/funds towards such obligations and may also retain the funds expected to meet margin obligations for next 5 trading days, calculated in the manner specified by the exchanges.
- e. I/We confirm you that I will bring to your notice any dispute arising from the statement of account or settlement so made in writing preferably within 7 working days from the date of receipt of funds/securities or statement of account or statement related to it, as the case may be at your registered office.
I/We confirm you that I can revoke the above mentioned authority at any time by giving written notice to your registered office.
- f. Transfer my/our debit/credit balance to my/our another account maintained in capital market with you.
- g. I do not require separate confirmation slip for order/trade alteration/modification/cancellation/confirmation.
- h. I also authorised you debit the delay payment charges for the debit balance in my account & not settled as per exchange norms.

Client's Signature  _____

Client Code _____

Name of Client _____


Date : _____

Dear Customer,

Thank you for opting our offer to send you the contract notes in the digital Form. For availing the facility of digital contract notes following are the terms and conditions. Please sign it as an acceptance to it.

1. The Digital Contract notes will be issued in digital form in compliance with guidelines issued by SEBI/Exchange from time to time.
2. Digital Contract notes will be mailed at the E-mail address provided to us in KYC and / or the format as may be prescribed by the Exchange from time to time.
3. In case of any failure in system or errors in digital contract notes, contract notes will be issued in physical form, which shall be binding on the client.
4. Discrepancies, if any, should be sent to the branch or to email ID - compliance@indothai.co.in preferably within 24 hours of issuance of digital contract notes.
5. Any changes in the terms and conditions shall be intimated from time to time
6. Digital contact notes will also be available at www.indothai.co.in Client can view the ECN using the user name & password through the above website.

 (22)

Broker/Agent Code ARN : 35443				SUB-BROKER :		EUIN : 126948	
Unit Holder Information							
Name of the First Applicant :							
PAN Number :			KYC		Date of Birth		
Contact Address :							
City :		Pincode :		State :		Country	
Mobile				Email :			
Mode of Holding :				Occupatuion :			
Other Details of Sold/1st Applicant							
Overseas Address : (In case of NRI investor)							
City :		Pincode :		Country :			
Bank Mandate Details							
Name of Bank :							
A/C type. :		IFSC		A/C No.			
Bank Address :							
City :		Pincode :		State :		Country	
Nomination Details							
Nominee Name :				Relationship :			
Guardian Name (If Nominee is Minor)							
Nominee Address :							
City :		Pincode :		State :			
Declaration and Signature I/We confirm that details provided by me/us are true and correct. The ARN holder has disclosed to me/us all the commission (In the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Fund From amongst which the scheme is being recommended to me/us.							
Date :				Place :			
							
1st applicant Signature		2nd applicant Signature		3rd applicant Signature			



IndoThai
trade with confidence

INDO THAI SECURITIES LTD

NACH/ECS/AUTO DEBIT MANDATE INSTRUCTION FORM

UMRN Date

Tick () Sponsor Bank Code Utility Code

CREATE I/We hereby authorize **BSE Limited** to debit (tick) SB/CA/CC/SB-NRE/SB-NRO/Other

MODIFY Bank a/c number

CANCEL

with Bank IFSC or MICR

an amount of Rupees

FREQUENCY ☐ Mthly ☐ Qtly ☐ H-Yrly ☐ Yrly ☐ As & when presented DEBIT TYPE ☐ Fixed Amount ☐ Maximum Amount

Reference 1 (Mandate Reference No.) Phone No

Reference2 (Unique Client Code UCC) Email ID

I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my account as per latest schedule of charges of the bank

PERIOD ☐ From

To

Or ☐ Until Cancelled

1. 2. 3.

- This is to confir that the declaration has carefully read, understood & made by me/us. I am authorizing the user entity/Corporate to debit my account. based on the instructions as agreed and signed by me.

- I have understood that i am authorised to cancel/amend this mandate by appropriately communicating the cancellation/amendment request the Use entity/Corporate or the bank where I have authorized the debit.

Tear Here

NACH/ECS/AUTO DEBIT MANDATE INSTRUCTION FORM

UMRN Date

Tick () Sponsor Bank Code Utility Code

CREATE I/We hereby authorize **BSE Limited** to debit (tick) SB/CA/CC/SB-NRE/SB-NRO/Other

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INDO THAI SECURITIES LTD.

Regd. Office : Capital Tower, 2nd Floor, Plot Nos. 169A-171, PU-4,
Scheme No. 54, Behind C 21 Mall, Indore - 452010 (M.P.)

Ph.: +91-9111-801-801, (0731) 4255816

Email : depository@indothai.co.in | indothaigroup@indothai.co.in

Website : www.indothai.co.in

 / IndoThaiLtd  / IndoThaiLtd

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