

Debit Authorisation

To,
INDO THAI SECURITIES LTD.
14th, 4th Floor, Dawa Bazar,
RNT Marg, Indore - 452001 (M.P.)

Sub. : Authority to debit the D-mat Account Charges.

Beneficiary Client ID	1 2 0 5 0 9 0 0 - (Indo Thai Securities Ltd.)
Trading Account Code	

Dear Sir,

1. I/We have a beneficiary account with Indo Thai Securities Ltd. (DP) with client ID as mentioned above.
2. Further I/We have trading account with Indo Thai Securities Ltd. (trading account codes mentioned above) for investment and trading purpose.
3. I/We find it extremely inconvenient to issue cheques for the depository bills and client Registration Charges etc for my / our BO account mentioned above.

Therefore I/We hereby authorize you to debit my / our Trading Account Maintained with Indo Thai Securities Ltd. , for the dues against my/our above BO a/c. for depository Bills and other charges. Any such sum credited to my/our DP account shall be binding on me/us.

Thanking You

Yours Faithfully,

□

Signature of the Client

□

Name of the Client

Know Your Client (KYC)
Application Form (For Individuals Only)

Please fill in **ENGLISH** and in **BLOCK LETTERS**



INDO THAI SECURITIES LTD.

Application No. :

A. Identity Details (please see guidelines overleaf)

1. Name of Applicant (As appearing in supporting identification document).

Name

Father's/Spouse Name

2. Gender ☐ Male ☐ Female

B. Marital status ☐ Single ☐ Married

C. Date of Birth

d	d	/	m	m	/	y	y	y	y
---	---	---	---	---	---	---	---	---	---

3. Nationality ☐ Indian ☐ Other (Please specify)

4. Status Please tick (✓) ☐ Resident Individual ☐ Non Resident ☐ Foreign National (Passport Copy Mandatory for NRIs & Foreign Nationals)

5. PAN

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 Please enclose a duly attested copy of your PAN Card

Aadhaar Number, if any:

6. Proof of Identity submitted for PAN exempt cases Please Tick (✓)

☐ UID (Aadhaar) ☐ Passport ☐ Voter ID ☐ Driving Licence ☐ Others

PHOTOGRAPH

Please affix
the recent passport
size photograph and
sign across it

B. Address Details (please see guidelines overleaf)

1. Address for Residence/Correspondence

City / Town / Village

State

Country

Pin Code

2. Contact Details

Tel. (Off.) (ISD) (STD)

Tel. (Res.) (ISD) (STD)

Mobile (ISD) (STD)

Fax (ISD) (STD)

E-Mail Id.

3. Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick (✓) against the document attached.

☐ Passport ☐ Ration Card ☐ Registered Lease/Sale Agreement of Residence ☐ Driving License ☐ Voter Identity Card ☐ *Latest Bank A/c Statement/Passbook
☐ *Latest Telephone Bill (only Land Line) ☐ *Latest Electricity Bill ☐ *Latest Gas Bill ☐ Others (Please specify)

*Not more than 3 Months old. Validity/Expiry date of proof of address submitted

d	d	/	m	m	/	y	y	y	y
---	---	---	---	---	---	---	---	---	---

4. Permanent Address of Resident Applicant if different from above B1 OR Overseas Address (Mandatory) for Non-Resident Applicant

City / Town / Village

State

Country

Pin Code

5. Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick (✓) against the document attached.

☐ Passport ☐ Ration Card ☐ Registered Lease/Sale Agreement of Residence ☐ Driving License ☐ Voter Identity Card ☐ *Latest Bank A/c Statement/Passbook
☐ *Latest Telephone Bill (only Land Line) ☐ *Latest Electricity Bill ☐ *Latest Gas Bill ☐ Others (Please specify)

*Not more than 3 Months old. Validity/Expiry date of proof of address submitted

d	d	/	m	m	/	y	y	y	y
---	---	---	---	---	---	---	---	---	---

6. Any other information:

DECLARATION

I hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.

Place:

Date:

SIGNATURE OF APPLICANT

FOR OFFICE USE ONLY

IPV Done ☐ on

d	d	/	m	m	/	y	y	y	y
---	---	---	---	---	---	---	---	---	---

AMC/Intermediary name OR code

☐ (Originals Verified) Self Certified Document copies received

☐ (Attested) True copies of documents received
Main Intermediary

Seal/Stamp of the intermediary should contain

Staff Name

Designation

Name of the Organization

Signature

Date

Seal/Stamp of the intermediary should contain

Staff Name

Designation

Name of the Organization

Signature

Date

INSTRUCTIONS / CHECK LIST FOR FILLING KYC FORM

A. IMPORTANT POINTS:

1. Self attested copy of PAN card is mandatory for all clients.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIOCard/OCICard and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity(POI): List of documents admissible as Proof of Identity:

1. PAN card with photograph. This is a mandatory requirement for all applicants except those who are specifically exempt from obtaining PAN (listed in Section D).
2. Unique Identification Number (UID) (Aadhaar) / Passport / Voter ID card / Driving license.
3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA): List of documents admissible as Proof of Address: (*Documents having an expiry date should be valid on the date of submission.)

1. Passport/Voters Identity Card/Ration Card/Registered Lease or Sale

Agreement of Residence/Driving License/Flat Maintenance bill/Insurance Copy.

2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill Not more than 3 months old.
3. Bank Account Statement/Passbook - Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/Multinational Foreign Banks/Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly/Parliament/Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
7. For FII/sub account, Power of Attorney given by FII/sub-account to the Custodians (which are duly notarized and/or apostilled or consularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse may be accepted.

D. Exemptions/clarifications to PAN

(*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
4. SIP of Mutual Funds upto Rs 50,000/- p.a.
5. In case of institutional clients, namely, FIIs, Mfs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy/Consulate General in the country where the client resides are permitted to attest the documents.

Please Submit the KYC Documents on A4 Size Paper Only.

INSTRUCTIONS / CHECK LIST FOR FILLING KYC FORM

A. IMPORTANT POINTS:

- Self attested copy of PAN card is mandatory for all clients.
- Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
- If any proof of identity or address is in a foreign language, then translation into English is required.
- Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
- If correspondence & permanent address are different, then proofs for both have to be submitted.
- Sole proprietor must make the application in his individual name & capacity.
- For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIOCard/OCICard and overseas address proof is mandatory.
- For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
- In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
- For opening an account with Depository participant or Mutual Fund, for a minor or photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
- Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity (POI): List of documents admissible as Proof of Identity:

- PAN card with photograph. This is a mandatory requirement for all applicants except those who are specifically exempt from obtaining PAN (listed in Section D).
- Unique Identification Number (UID) (Aadhaar)/Passport/Voter ID card/Driving license.
- Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA): List of documents admissible as Proof of Address: (*Documents having an expiry date should be valid on the date of submission.)

- Passport/Voters Identity Card/Ration Card/Registered Lease or Sale Agreement of

Residence/Driving License/Flat Maintenance bill/Insurance Copy.

- Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
- Bank Account Statement/Passbook - Not more than 3 months old.
- Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
- Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/Multinational Foreign Banks/Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly/Parliament/Documents issued by any Govt. or Statutory Authority.
- Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
- For FII/sub account, Power of Attorney given by FII/sub-account to the Custodians (which are duly notarized and/or apostilled or consularised) that gives the registered address should be taken.
- The proof of address in the name of the spouse may be accepted.

D. Exemptions/clarifications to PAN

(*Sufficient documentary evidence in support of such claims to be collected.)

- In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
- Investors residing in the state of Sikkim.
- UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
- SIP of Mutual Funds upto Rs 50,000/- p.a.
- In case of institutional clients, namely, FIIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents:

- Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
- In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy/Consulate General in the country where the client resides are permitted to attest the documents.

F. In case of Non-Individuals, additional documents to be obtained from Non-individuals, over & above the POI & POA, as mentioned below:

Types of entity	Documentary requirements
Corporate	<ul style="list-style-type: none"> Copy of the balance sheets for the last 2 financial years (to be submitted every year) Copy of latest share holding pattern including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover Regulations, duly certified by the company secretary/Whole time director/MD (to be submitted every year) Photograph, POI, POA, PAN and DIN numbers of whole time directors/two directors in charge of day to day operations Photograph, POI, POA, PAN of individual promoters holding control – either directly or indirectly Copies of the Memorandum and Articles of Association and certificate of incorporation Copy of the Board Resolution for investment in securities market Authorised signatories list with specimen signatures
Partnership firm	<ul style="list-style-type: none"> Copy of the balance sheets for the last 2 financial years (to be submitted every year) Certificate of registration (for registered partnership firms only) Copy of partnership deed Authorised signatories list with specimen signatures Photograph, POI, POA, PAN of Partners
Trust	<ul style="list-style-type: none"> Copy of the balance sheets for the last 2 financial years (to be submitted every year) Certificate of registration (for registered trust only). Copy of Trust deed List of trustees certified by managing trustees/CA Photograph, POI, POA, PAN of Trustees
HUF	<ul style="list-style-type: none"> PAN of HUF Deed of declaration of HUF/List of coparceners Bank pass-book/bank statement in the name of HUF Photograph, POI, POA, PAN of Karta
Unincorporated Association or a body of individuals	<ul style="list-style-type: none"> Proof of Existence/Constitution document Resolution of the managing body & Power of Attorney granted to transact business on its behalf Authorized signatories list with specimen signatures
Banks/Institutional Investors	<ul style="list-style-type: none"> Copy of the constitution/registration or annual report/balance sheet for the last 2 financial years Authorized signatories list with specimen signatures
Foreign Institutional Investors (FII)	<ul style="list-style-type: none"> Copy of SEBI registration certificate Authorized signatories list with specimen signatures
Army/Government Bodies	<ul style="list-style-type: none"> Self-certification on letterhead Authorized signatories list with specimen signatures
Registered Society	<ul style="list-style-type: none"> Copy of Registration Certificate under Societies Registration Act List of Managing Committee members Committee resolution for persons authorised to act as authorised signatories with specimen signatures True copy of Society Rules and Bye Laws certified by the Chairman/Secretary

Please Submit the KYC Documents on A4 Size Paper Only.



INDO THAI SECURITIES LTD.

Corporate Office : 14th, 4th Floor, Dawa Bazar, RNT Marg, Indore - 452001 (M.P.)
Ph.: (0731) 4255816 - 32 Fax : (0731) 4255805

DP ID No. 1205900

SEBI Regd. No.: IN-DP-CDSL-412-2007

HUF DECLARATION FORM

BOHUF.

Sr.	Name	Sex	Date of Birth	Relation	Signature
1					
2					
3					
4					
5					
6					
7					
8					
9					
10					

Name of The Karta _____

Signature with seal (Karta- HUF) _____

Date : _____

ADDITIONAL KYC FOR OPENING A DEMAT ACCOUNT

Annexure 2.1

FOR INDIVIDUALS



INDO THAI SECURITIES LTD.

Corporate Office : 14th, 4th Floor, Dawa Bazar, RNT Marg, Indore - 452001 (M.P.)

Ph.: (0731) 4255816 - 32 Fax : (0731) 4255805

(To be filled by the Depository Participant)

Application No.										Date									
DP Internal Reference No.																			
DP ID	1	2	0	5	0	9	0	0	Client ID										

(To be filled by the applicant in BLOCK LETTERS in English)

I / We request you to open a Demat Account in my / our name as per the following details: -

HOLDERS DETAILS

Sole / First Holder's Name		PAN																
		UID																
Second Holder's Name		PAN																
		UID																
Third Holder's Name		PAN																
		UID																

Name*	
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* In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above.

Type of Account (Please Tick Which ever is Applicable)

Status	Sub - Status	
<input type="checkbox"/> Individual	<input type="checkbox"/> Individual Resident <input type="checkbox"/> Individual Director's Relative <input type="checkbox"/> Individual Promoter <input type="checkbox"/> Individual Margin Trading A/C (MANTRA)	<input type="checkbox"/> Individual-Director <input type="checkbox"/> Individual HUF / AOP <input type="checkbox"/> Minor <input type="checkbox"/> Others(specify) _____
<input type="checkbox"/> NRI	<input type="checkbox"/> NRI Repatriable <input type="checkbox"/> NRI Repatriable Promoter <input type="checkbox"/> NRI – Depository Receipts	<input type="checkbox"/> NRI Non-Repatriable <input type="checkbox"/> NRI Non-Repatriable Promoter <input type="checkbox"/> Others (specify) _____
<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National <input type="checkbox"/> Foreign National Depository Receipts <input type="checkbox"/> Others (specify) _____	

Details of Guardian (in case the account holder is minor)

Guardian's Name		PAN												
Relationship With the Applicant														
I / We instruct the DP to receive each and every credit in my / our account (If not marked, the default option would be 'Yes')												[Automatic Credit] <input type="checkbox"/> Yes <input type="checkbox"/> No		
I / We would like to instruct the DP to accept all the pledge instructions in my / our account without any other further instruction from my / our end (if not marked, the default option would be 'No')												<input type="checkbox"/> Yes <input type="checkbox"/> No		
Account Statement Requirement	<input type="checkbox"/> As per SEBI Regulation <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly													

I / We request you to send Electronic Transaction-Cum-Holding Statement at the email ID _____	<input type="checkbox"/> Yes <input type="checkbox"/> No
I / We would like to share the email ID with the RTA	<input type="checkbox"/> Yes <input type="checkbox"/> No
I / We would like to receive the Annual Report (Tick the applicable box. If not marked the default option would be in Physical)	<input type="checkbox"/> Physical <input type="checkbox"/> Electronic <input type="checkbox"/> Both Physical and Electronic
I / We wish to receive dividend / interest directly in to my bank account as given below through ECS. (If not marked, the default option would be `Yes') [ECS is mandatory for locations notified by SEBI from time to time]	<input type="checkbox"/> Yes <input type="checkbox"/> No

Bank Details [Dividend Bank Details]

Bank Code (9 digit MICR code)									
IFS Code (11 character)									
Account Number									
Account Type	<input type="checkbox"/> Saving <input type="checkbox"/> Current <input type="checkbox"/> Others (specify) _____								
Bank Name									
Branch Name									
Bank Branch Address									
City		State		Country		PIN			

- (i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)
 (ii) Photocopy of the Bank Statement having name and address of the BO
 (iii) Photocopy of the Passbook having name and address of the BO, (or)
 (iv) Letter from the Bank.
 > In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present /mentioned on the document.

Other Details

Gross Annual Income Details	Income Range per annum <input type="checkbox"/> Upto Rs. 1 Lac <input type="checkbox"/> Rs. 1 Lac to 5 Lacs <input type="checkbox"/> Rs. 5 Lacs to 10 Lacs <input type="checkbox"/> Rs. 10 Lacs to 25 Lacs <input type="checkbox"/> More than Rs. 25 Lacs
	Net worth as on Date <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> (Net worth should not be older than 1 year)
Occupation	<input type="checkbox"/> Private/ Public Sector <input type="checkbox"/> Govt. Service <input type="checkbox"/> Business <input type="checkbox"/> Professional <input type="checkbox"/> Agriculture <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Others (Specify) _____
Please tick, if applicable	<input type="checkbox"/> Politically Exposed Person (PEP) <input type="checkbox"/> Related to Politically Exposed Person (RPEP)
Any other information	

SMS Alert Facility Refer to Terms & Conditions given as Annexure - 2.4	MOBILE NO. +91 _____ [(Mandatory, if you are giving Power of Attorney (POA)) (if POA is not granted & you do not wish to avail of this facility, cancel this option).		
Transactions Using Secured Texting Facility (TRUST) Refer to Terms and Conditions Annexure - 2.6	I wish to avail the Trust facility using the Mobile number registered for SMS Alert Facility. I have Read and understood the Terms and Conditions prescribed by CDSL for the same. <input type="checkbox"/> Yes <input type="checkbox"/> No. I / We wish to register the following clearing member IDs under my / our below mentioned BO ID registered for TRUST		
	Stock Exchange Name / ID	Clearing Member Name	Clearing Member ID (Optional)
<i>Easi</i>	To register for easi , please visit our website www.cdslindia.com . Easi allows a BO to view his ISIN balances, transactions and value of the portfolio online.		

Nomination Details

Nomination Registration No.		Dated	
-----------------------------	--	-------	--

I / We the Sole Holder / Joint Holder / Guardian (in case of Minor) hereby declare that :

- ☐ I / We **do not wish to Nominate any one for this demat account**
- ☐ I / We **Nominate** the following person who is entitled to receive security balances lying in my/our account, particulars whereof are given below, in the event of my/ our death.

Full Name of the Nominee							
Address							
City					State		
Country					PIN Code		
Telephone No.					Fax No.		
PAN				UID			
E-mail ID							
Relationship with BO (if any)				Date of Birth (mandatory If Nominee is a Minor)/...../.....		

As the nominee is a minor as on date, to receive the securities in this account on behalf of the nominee in the event of the death of the Sole Holder / all Joint Holders, I / We appoint following person to act as **Guardian**

Full Name of the Guardian of Nominee							
Address							
City					State		
Country					PIN Code		
Telephone No.					Fax No.		
E-mail ID							
Relationship of Guardian with Nominee							

This nomination shall supersede any prior nomination made by me/us and also any testamentary documents executed by me /us.

Note : Two witness shall attest signature (s) / thumb impression (s)

Details of the Witness	First Witness	Second Witness
Name of Witness		
Address of Witness		
Signature of Witness		

Acknowledgement Receipt

Application No.:

Date:

We hereby acknowledge the receipt of the Account Opening Application Form :

Name of the Sole / First Holder	
Name of Second joint Holder	
Name of Third joint Holder	

I/We have received & read Rights and Obligations documents & Terms & Conditions and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP any change(s) in the details / Particulars mentioned by me / us in this form. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

	First / Sole Holder or Guardain (in case of Minor)	Second Holder	Third Holder
Name			
Signature	□ □	□	

(Signatures should be preferably in black ink).

ADDITIONAL KYC FOR OPENING A DEMAT ACCOUNT

Annexure 2.2

FOR NON-INDIVIDUALS



INDO THAI SECURITIES LTD.

Corporate Office : 14th, 4th Floor, Dawa Bazar,
RNT Marg, Indore - 452001 (M.P.)

Ph.: (0731) 4255816 - 832 Fax : (0731) 4255805

(To be filled by the Depository Participant)

Application No.		Date	
DP Internal Reference No.			
DP ID	1	2	0
Client ID	5	0	9
	0	0	0

(To be filled by the applicant in **BLOCK LETTERS** in English)

I / We request you to open a Demat Account in my / our name as per the following details: -

HOLDERS DETAILS

Sole / First Holder's Name	PAN								
	Search Name								
Second Holder's Name	PAN								
	Search Name								
Third Holder's Name	PAN								
	Search Name								

Name*	
-------	--

* In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above.

Type of Account (Please Tick Which ever is Applicable)

Status		Sub - Status	
<input type="checkbox"/> Body Corporate <input type="checkbox"/> OCB <input type="checkbox"/> Clearing House	<input type="checkbox"/> Banks <input type="checkbox"/> FII <input type="checkbox"/> Other (Specify)	<input type="checkbox"/> Trust <input type="checkbox"/> CM	<input type="checkbox"/> Mutual Fund <input type="checkbox"/> FI
SEBI Registration No. (If Applicable)		SEBI Registration Date	D D M M Y Y Y Y
RBI Registration No. (If Applicable)		RBI Approval Date	D D M M Y Y Y Y
Nationality	<input type="checkbox"/> Indian <input type="checkbox"/> Others (specify)		

I / We instruct the DP to receive each and every credit in my / our account (If not marked, the default option would be 'Yes')	[Automatic Credit] <input type="checkbox"/> Yes <input type="checkbox"/> No
I / We would like to instruct the DP to accept all the pledge instructions in my / our account without any other further instruction from my / our end (if not marked, the default option would be 'No')	<input type="checkbox"/> Yes <input type="checkbox"/> No
Account Statement Requirement	<input type="checkbox"/> As per SEBI Regulation <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly
I / We request you to send Electronic Transaction-Cum-Holding Statement at the email ID	<input type="checkbox"/> Yes <input type="checkbox"/> No
I / We would like to share the email ID with the RTA	<input type="checkbox"/> Yes <input type="checkbox"/> No

Acknowledgement Receipt

Application No.:

Date:

We hereby acknowledge the receipt of the Account Opening Application Form :

Name of the Sole / First Holder	
Name of Second joint Holder	
Name of Third joint Holder	

For **INDO THAI SECURITIES LTD.**

I / We would like to receive the Annual Report (Tick the applicable box. If not marked the default option would be in Physical)	<input type="checkbox"/> Physical <input type="checkbox"/> Electronic <input type="checkbox"/> Both Physical and Electronic
--	--

Clearing Member Details (To be filled by CMs only)

Name of Stock Exchange			
Name of CC / CH			
Clearing Member ID		Trading Member ID	

I / We wish to receive dividend / interest directly in to my bank account given below through ECS. (If not marked, the default option would be `Yes') [ECS is mandatory for locations notified by SEBI from time to time]	<input type="checkbox"/> Yes <input type="checkbox"/> No
---	--

Bank Details [Dividend Bank Details]

Bank Code (9 digit MICR code)																
IFS Code (11 character)																
Account Number																
Account Type	<input type="checkbox"/> Saving <input type="checkbox"/> Current <input type="checkbox"/> Others (specify) _____															
Bank Name					Branch Name											
Bank Branch																
Address																
City				State			Country			PIN						

- (i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)
 (ii) Photocopy of the Bank Statement having name and address of the BO
 (iii) Photocopy of the Passbook having name and address of the BO, (or)
 (iv) Letter from the Bank.
 > In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present /mentioned on the document

Other Details

Gross Annual Income Details	Income Range per annum
	<input type="checkbox"/> Upto Rs. 1 Lac <input type="checkbox"/> Rs. 1 Lac to 5 Lacs <input type="checkbox"/> Rs. 5 Lacs to 10 Lacs <input type="checkbox"/> Rs. 10 Lacs to 25 Lacs <input type="checkbox"/> Rs. 25 Lacs to 1 Crore <input type="checkbox"/> More than 1 Crore
	Net worth as on Date <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> (Net worth should not be older than 1 year)
Please tick,	if any of the Authorised Signatories / Promotors / Partners / Karta / Trustees / Whole Time Directors is either Politically Exposed Person (PEP) or Related to Politically Exposed Person (RPEP). <input type="checkbox"/> Please provide details as per Annexure 2.2 A.

Details of Politically Exposed Persons (PEP) / Related to Politically Exposed Person (RPEP)

Name of Holder _____ PAN of the Holder _____

Sr. No.	Name of the Authorised Signatories / Promoters / Partners / Karta / Trustees / Whole time Director	Relation with the Holder (i.e. Promoters, Whole Time Directors etc.)	Please Tick the relevant option.
			<input type="checkbox"/> PEP <input type="checkbox"/> RPEP
			<input type="checkbox"/> PEP <input type="checkbox"/> RPEP
			<input type="checkbox"/> PEP <input type="checkbox"/> RPEP
			<input type="checkbox"/> PEP <input type="checkbox"/> RPEP
			<input type="checkbox"/> PEP <input type="checkbox"/> RPEP

⊗

PEP : Politically Exposed Person (PEP)
RPEP : Related to Politically Exposed Person (RPEP).

Name & Signature of the Authorised Signatories

Date :/...../.....

Any other information				
SMS Alert Facility Refer to Terms & Conditions given as Annexure - 2.4		MOBILE NO. +91 _____ [(Mandatory , if you are giving Power of Attorney (POA)) (if POA is not granted & you do not wish to avail of this facility, cancel this option).		
Transactions Using Secured Texting Facility (TRUST) Refer to Terms and Conditions Annexure - 2.6		I wish to avail the Trust facility using the Mobile number registered for SMS Alert Facility. I have Read and understood the Terms and Conditions prescribed by CDSL for the same. <input type="checkbox"/> Yes <input type="checkbox"/> No.		
		I / We wish to register the following clearing member IDs under my / our below mentioned BO ID registered for TRUST		
		Stock Exchange Name / ID	Clearing Member Name	Clearing Member ID (Optional)
<i>Easi</i>		To register for easi , please visit our website www.cdslindia.com . Easi allows a BO to view his ISIN balances, transactions and value of the portfolio online.		

I/We have received & read the document of Rights and Obligations BO - DP (DP - CM agreement for BSE Clearing Member Accounts) including the schedules thereto and the Terms & Conditions and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

	Sole / First Authorised Signatory	Second Authorised Signatory	Third Authorised Signatory
Name			
Designation			
Signature	<input type="text"/>	<input type="text"/>	<input type="text"/>

(In case of more Authorised Signatories, please add Annexure)

(Signatures should be preferably in black ink).

Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories

General Clause

1. The Beneficial Owner and the Depository participant (DP) shall be bound by the provisions of the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996, Rules and Regulations of Securities and Exchange Board of India (SEBI), Circulars/Notifications/Guidelines issued there under, Bye Laws and Business Rules/Operating Instructions issued by the Depositories and relevant notifications of Government Authorities as may be in force from time to time.
2. The DP shall open/activate demat account of a beneficial owner in the depository system only after receipt of complete Account opening form, KYC and supporting documents as specified by SEBI from time to time.

Beneficial Owner information

3. The DP shall maintain all the details of the beneficial owner(s) as mentioned in the account opening form, supporting documents submitted by them and/or any other information pertaining to the beneficial owner confidentially and shall not disclose the same to any person except as required by any statutory, legal or regulatory authority in this regard.
4. The Beneficial Owner shall immediately notify the DP in writing, if there is any change in details provided in the account opening form as submitted to the DP at the time of opening the demat account or furnished to the DP from time to time.

Fees/Charges/Tariff

5. The Beneficial Owner shall pay such charges to the DP for the purpose of holding and transfer of securities in dematerialized form and for availing depository services as may be agreed to from time to time between the DP and the Beneficial Owner as set out in the Tariff Sheet provided by the DP. It may be informed to the Beneficial Owner that "no charges are payable for opening of demat accounts"
6. In case of Basic Services Demat Accounts, the DP shall adhere to the charge structure as laid down under the relevant SEBI and/or Depository circulars/directions/notifications issued from time to time.
7. The DP shall not increase any charges/tariff agreed upon unless it has given a notice in writing of not less than thirty days to the Beneficial Owner regarding the same.

Dematerialization

8. The Beneficial Owner shall have the right to get the securities, which have been admitted on the Depositories, dematerialized in the form and manner laid down under the Bye Laws, Business Rules and Operating Instructions of the depositories.

Separate Accounts

9. The DP shall open separate accounts in the name of each of the beneficial owners and securities of each beneficial owner shall be segregated and shall not be mixed up with the securities of other beneficial owners and/or DP's own securities held in dematerialized form.
10. The DP shall not facilitate the Beneficial Owner to create or permit any pledge and /or hypothecation or any other interest or encumbrance over all or any of such securities submitted for dematerialization and/or held in demat account except in the form and manner prescribed in the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996 and Bye-Laws/Operating Instructions/Business Rules of the Depositories.

Transfer of Securities

11. The DP shall effect transfer to and from the demat accounts of the Beneficial Owner only on the basis of an order, instruction, direction or mandate duly authorized by the Beneficial Owner and the DP shall maintain the original documents and the audit trail of such authorizations.
12. The Beneficial Owner reserves the right to give standing instructions with regard to the crediting of securities in his demat account and the DP shall act according to such instructions.

Statement of account

13. The DP shall provide statements of accounts to the beneficial owner in such form and manner and at such time as agreed with the Beneficial Owner and as specified by SEBI/depository in this regard.
14. However, if there is no transaction in the demat account, or if the balance has become Nil during the year, the DP shall send one physical statement of holding annually to such BOs and shall resume sending the transaction statement as and when there is a transaction in the account.
15. The DP may provide the services of issuing the statement of demat accounts in an electronic mode if the Beneficial Owner so desires. The DP will furnish to the Beneficial Owner the statement of demat accounts under its digital signature, as governed under the Information Technology Act, 2000. However if the DP does not have the facility of providing the statement of demat account in the electronic mode, then the Participant shall be obliged to forward the statement of demat accounts in physical form.
16. In case of Basic Services Demat Accounts, the DP shall send the transaction statements as mandated by SEBI and/or Depository from time to time.

Manner of Closure of Demat account

17. The DP shall have the right to close the demat account of the Beneficial Owner, for any reasons whatsoever, provided the DP has given a notice in writing of not less than thirty days to the Beneficial Owner as well as to the Depository. Similarly, the Beneficial Owner shall have the right to close his/her demat account held with the DP provided no charges are payable by him/her to the DP. In such an event, the Beneficial Owner shall specify whether the balances in their demat account should be transferred to another demat account of the Beneficial Owner held with another DP or to rematerialize the security balances held.
18. Based on the instructions of the Beneficial Owner, the DP shall initiate the procedure for transferring such security balances or rematerialize such security balances within a period of thirty days as per procedure specified from time to time by the depository. Provided further, closure of demat account shall not affect the rights, liabilities and obligations of either the Beneficial Owner or the DP and shall continue to bind the parties to their satisfactory completion.

Default in payment of charges

19. In event of Beneficial Owner committing a default in the payment of any amount provided in Clause 5 & 6 within a period of thirty days from the date of demand, without prejudice to the right of the DP to close the demat account of the Beneficial Owner, the DP may charge interest at a rate as specified by the Depository from time to time for the period of such default.
20. In case the Beneficial Owner has failed to make the payment of any of the amounts as provided in Clause 5&6 specified above, the DP after giving two days notice to the Beneficial Owner shall have the right to stop processing of instructions of the Beneficial Owner till such time he makes the payment along with interest, if any.

Liability of the Depository

21. As per Section 16 of Depositories Act, 1996,
 1. Without prejudice to the provisions of any other law for the time being in force, any loss caused to the beneficial owner due to the negligence of the depository or the participant, the depository shall indemnify such beneficial owner.
 2. Where the loss due to the negligence of the participant under Clause (1) above, is indemnified by the depository, the depository shall have the right to recover the same from such participant.

Freezing/ Defreezing of accounts

22. The Beneficial Owner may exercise the right to freeze/defreeze his/her demat account maintained with the DP in accordance with the procedure and subject to the restrictions laid down under the Bye Laws and Business Rules/Operating Instructions.
23. The DP or the Depository shall have the right to freeze/defreeze the accounts of the Beneficial Owners on receipt of instructions received from any regulator or court or any statutory authority.

Redressal of Investor grievance

24. The DP shall redress all grievances of the Beneficial Owner against the DP within a period of thirty days from the date of receipt of the complaint.

Authorized representative

25. If the Beneficial Owner is a body corporate or a legal entity, it shall, along with the account opening form, furnish to the DP, a list of officials authorized by it, who shall represent and interact on its behalf with the Participant. Any change in such list including additions, deletions or alterations thereto shall be forthwith communicated to the Participant.

Law and Jurisdiction

26. In addition to the specific rights set out in this document, the DP and the Beneficial owner shall be entitled to exercise any other rights which the DP or the Beneficial Owner may have under the Rules, Bye Laws and Regulations of the respective Depository in which the demat account is opened and circulars/notices issued there under or Rules and Regulations of SEBI.
27. The provisions of this document shall always be subject to Government notification, any rules, regulations, guidelines and circulars/ notices issued by SEBI and Rules, Regulations and Bye-laws of the relevant Depository, where the Beneficial Owner maintains his/ her account, that may be in force from time to time.
28. The Beneficial Owner and the DP shall abide by the arbitration and conciliation procedure prescribed under the Bye-laws of the depository and that such procedure shall be applicable to any disputes between the DP and the Beneficial Owner.
29. Words and expressions which are used in this document but which are not defined herein shall unless the context otherwise requires, have the same meanings as assigned thereto in the Rules, Bye-laws and Regulations and circulars/notices issued there under by the depository and/or SEBI
30. Any changes in the rights and obligations which are specified by SEBI/Depositories shall also be brought to the notice of the clients at once.
31. If the rights and obligations of the parties hereto are altered by virtue of change in Rules and regulations of SEBI or Bye-laws, Rules and Regulations of the relevant Depository, where the Beneficial Owner maintains his/her account, such changes shall be deemed to have been incorporated herein in modification of the rights and obligations of the parties mentioned in this document.

Terms And Conditions Cum Registration / Modification Form for Receiving SMS Alerts from CDSL
(SMS Alerts will be send by CDSL to BOs for all debits)

Annexure 2.4

Definitions:

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

1. "Depository" means Central Depository Services (India) Limited a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P.J. Towers, Dalal Street, Fort, Mumbai 400001 and all its branch offices and includes its successors and assigns.
2. 'DP' means Depository Participant of CDSL. The term covers all types of DPs who are allowed to open demat accounts for investors.
3. 'BO' means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
4. SMS means "Short Messaging Service"
5. "Alerts" means a customized SMS sent to the BO over the said mobile phone number.
6. "Service Provider" means a cellular service provider(s) with whom the depository has entered / will be entering into an arrangement for providing the SMS alerts to the BO.
7. "Service" means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.

Availability:

1. The service will be provided to the BO at his / her request and at the discretion of the depository. The service will be available to those accountholders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period / indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
2. The service is currently available to the BOs who are residing in India.
3. The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
4. In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration / modification.
5. The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

Receiving Alerts:

1. The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
2. The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off' mode i.e. unable to receive the alerts then the BO may not get / get after delay any alerts sent during such period.
3. The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.
4. The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and/ or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the depository and/ or the DP immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred/ suffered by the BO on account of opting to avail SMS alerts facility.
5. The BO authorizes the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, email address and mobile number for marketing offers between CDSL and any other entity.
6. The BO agrees to inform the depository and DP in writing of any unauthorized debit to his BO account/ unauthorized transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an email to CDSL at complaints@cdslindia.com. The BO is advised not to inform the service provider about any such unauthorized debit to/ transfer of securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the depository.
7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.
8. The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non-availability of the service in any manner whatsoever.
9. If the BO finds that the information such as mobile number etc., has been changed with out proper authorization, the BO should immediately inform the DP in writing.

Fees:

Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.

Disclaimer:

The depository shall make reasonable efforts to ensure that the BO's personal information is kept confidential. The depository does not warrant the confidentiality or security of the SMS alerts transmitted through a service provider. Further, the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The Depository gives no warranty with respect to the quality of the service provided by the service provider. The Depository will not be liable for any unauthorized use or access to the information and/ or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use/ misuse of such information by any third person.

Liability and Indemnity:

The Depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the depository providing the service, the BO agrees to indemnify and keep safe, harmless and indemnified the depository and its officials from any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever which a depository may at any time incur, sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

Amendments:

The depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

Governing Law and Jurisdiction:

Providing the Service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai.

I/We wish to avail the SMS Alerts facility provided by the depository on my/our mobile number provided in the registration form subject to the terms and conditions mentioned below. I/ We consent to CDSL providing to the service provider such information pertaining to account/transactions in my/our account as is necessary for the purposes of generating SMS Alerts by service provider, to be sent to the said mobile number.

I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time. I/ we further undertake to pay fee/ charges as may be levied by the depository from time to time.

I / We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the BOs would be required to take up the matter with their DP.

I/We am/ are aware that mere acceptance of the registration form does not imply in any way that the request has been accepted by the depository for providing the service.

I/We provide the following information for the purpose of REGISTRATION / MODIFICATION (Please cancel out what is not applicable).

BOID	1	2	0	5	0	9	0	0								
-------------	---	---	---	---	---	---	---	---	--	--	--	--	--	--	--	--

(Please write your 8 digit DPID)

(Please write your 8 digit Client ID)

Sole / First Holder's Name : _____

Second Holder's Name : _____

Third Holder's Name : _____

Mobile Number on which messages are to be sent	+91												
--	-----	--	--	--	--	--	--	--	--	--	--	--	--

(Please write only the mobile number without prefixing country code or zero)

The mobile number is registered in the name of : _____

Email ID: _____

(Please write only ONE valid email ID on which communication; if any, is to be sent)

	<input type="checkbox"/>	_____	<input type="checkbox"/>	_____	<input type="checkbox"/>	_____
Signatures		Sole / First Holder		Second holder		Third Holder

Place : _____

Date: _____

Terms And Conditions for availing Transaction Using Secured Texting (TRUST)

Service offered by CDSL - Annexure 2.6

1. Definitions:

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

- i. "Depository" means Central Depository Services (India) Limited (CDSL)
 - ii. TRUST means "Transactions Using Secured Texting" service offered by the Depository.
 - iii. "Service Provider" means a cellular service provider(s) with whom the Depository has entered / shall enter into an arrangement for providing the TRUST service to the BO.
 - iv. "Service" means the service of providing facility to receive/give instructions through SMS on best effort basis as per the following terms and conditions. The types of transaction that would normally qualify for this type of service would be informed by CDSL from time to time.
 - v. "Third Party" means the operators with whom the Service Provider is having / will have an arrangement for providing SMS to the BO.
2. The service will be provided to the BO at his / her request and at the discretion of the depository provided the BO has registered for this facility with their mobile numbers through their DP or by any other mode as informed by CDSL from time to time. Acceptance of application shall be subject to the verification of the information provided by the BO to the Depository
 3. The messages will be sent on best efforts basis by way of an SMS on the mobile no which has been provided by the BOs. However Depository shall not be responsible if messages are not received or sent for any reason whatsoever, including but not limited to the failure of the service provider or network.
 4. The BO is responsible for promptly informing its DP in the prescribed manner any change in mobile number, or loss of handset on which the BO wants to send/receive messages generated under TRUST. In case the new number is not registered for TRUST in the depository system, the messages generated under TRUST will continue to be sent to the last registered mobile number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of messages sent on such mobile number.
 5. The BO agrees that SMS received by the Depository from the registered mobile number of the BO on the basis of which instructions are executed in the depository system shall be conclusive evidence of such instructions having been issued by the BO. The DP / CDSL will not be held liable for acting on SMS so received.
 6. The BO shall be responsible for submitting response to the 'Responsive SMS' within the specified time period. Transactions for which no positive or negative confirmation is received from the BO, will not be executed except for transaction for deregistration. Further, CDSL shall not be responsible for BOs not submitting the response to the said SMS within the time limit prescribed by CDSL.
 7. The BO agrees that the signing of the TRUST registration form by all joint holders shall mean that the instructions executed on the basis of SMS received from the registered mobile for TRUST shall be deemed to have been executed by all joint holders.
 8. The BO agrees to ensure that the mobile number for TRUST facility and SMS alert (SMART) facility is the same. The BO agrees that if he is not registered for SMART, the DP shall register him for SMART and TRUST. If the mobile number provided for TRUST is different from the mobile number recorded for SMART, the new mobile number would be updated for SMART as well as TRUST.
 9. BOs are advised to check the status of their obligation from time to time and also advise the respective CMs to do so. In case of any issues, the BO/CM should approach their DPs to ensure that the obligation is fulfilled through any other mode of delivery of transactions as may be informed / made available by CDSL from time to time including submission of Delivery Instruction Slips to the DP .
 10. The BO acknowledges that CDSL will send the message for confirmation of a transaction to the BO only if the Clearing Member (registered by the BO for TRUST) enters the said transaction in CDSL system for execution through TRUST within prescribed time limit.
 11. The BO further acknowledges that the BO/CM shall not have any right to any claim against either the DP or Depository for losses, if any, incurred due to non receipt of response on the responsive SMS or receipt of such response after the prescribed time period. In the event of any dispute relating to the date and time of receipt of such response, CDSL's records shall be conclusive evidence and the Parties agree that CDSL's decision on the same shall be final and binding on both Parties.
 12. The BO may request for deregistration from TRUST at any time by giving a notice in writing to its DP or by any other mode as specified by Depository in its operating instructions. The same shall be effected after entry of such request by the DP in CDSL system if the request is received through the DP.
 13. Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.
 14. The BO expressly authorises Depository to disclose to the Service Provider or any other third party, such BO information as may be required by them to provide the services to the BO. Depository however, shall not be responsible and be held liable for any divulgence or leakage of confidential BO information by such Service Providers or any other third party.
 15. The BO takes the responsibility for the correctness of the information supplied by him to Depository through the use of the said Facility or through any other means such as electronic mail or written communication.
 16. The BO is solely responsible for ensuring that the mobile number is not misused and is kept safely and securely. The Depository will process requests originated from the registered Mobile as if submitted by the BO and Depository is not responsible for any claim made by the BO informing that the same was not originated by him.

17. Indemnity:

In consideration of providing the service, the BO agrees that the depository shall not be liable to indemnify the BO towards any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

18. Disclaimer:

Depository shall be absolved of any liability in case:-

- a. There is loss of any information during processing or transmission or any unauthorized access by any other person or breach of confidentiality.
- b. There is any lapse or failure on the part of the service providers or any third party affecting the said Facility and that Depository makes no warranty as to the quality of the service provided by any such service provider.
- c. There is breach of confidentiality or security of the messages whether personal or otherwise transmitted through the Facility.

□

□

□

Schedule of Charges for Depository Account

S. N.	Particulars	Tariff - BSDA a/c (With or without POA)	Tariff - Scheme A (With or without POA)	Tariff - Scheme B (With POA)	Tariff - Scheme C (With POA)	Tariff - Scheme D (With POA)
1.	KYC & Stationery Charges	Nil	Nil	Nil	Nil	Nil
2.	Stamps & Legal Charges	Nil	Nil	Nil	Nil	Nil
3.	POA Stamp & Legal Charges	Rs. 100/-	Rs. 100/-	Rs. 100/-	Rs. 100/-	Rs. 100/-
4.	Annual Account Maintenances Charges:					
4A	Resident Individual / HUF / Trust	For holding value up to Rs. 50000/- Nil. For value above Rs. 50000/- up to Rs. 200000/- Rs.100/-, For value above Rs. 200000/- Rs. 300/- P.A.	Rs. 300/- P.A.	Rs. 655/- for 3 Years	Rs. 999/- for 5 Years	Interest free Deposit of Rs.10000/- refundable on closure, but not before 3 years.
4B	Non Resident Indian (NRI) NA	NA	Rs. 1200/- P. A.	Rs. 1200/- P.A.	Rs. 1200/- P.A.	Rs. 1200/- PA
4C	Others (CORPORATE)	NA	Rs. 1000/- P. A.	Rs. 1000/- P. A.	Rs. 1000/- P. A.	Rs. 1000/- P. A.
5.	D-mat Charges	Rs. 10/- Per Certificate + Courier Charges Rs. 25/-, Min. Rs. 10/- per request	Rs. 10/- Per certificate + Courier Charges Rs.25/- Min. Rs. 10/- per request	Rs. 10/- Per certificate + Courier Charges Rs.25/- Min. Rs. 10/- per request	Rs. 10/- Per certificate + Courier Charges Rs.25/- Min. Rs. 10/- per request	Rs. 10/- Per certificate + Courier Charges Rs.25/- Min. Rs. 10/- per request
6.	R-mat Charges	Rs. 15 per certificate for every 100 securities or part thereof, whichever is higher, Max. Rs. 500,000/- + Rs. 25 courier charges	Rs.15 per certificate for every 100 securities or part thereof, whichever is higher, Max. Rs. 500,000/- + Rs. 25 courier charges	Rs.15 per certificate for every 100 securities or part thereof, whichever is higher, Max. Rs. 500,000/- + Rs. 25 courier charges	Rs.15 per certificate for every 100 securities or part thereof, whichever is higher, Max. Rs. 500,000/- + Rs. 25 courier charges	Rs.15 per certificate for every 100 securities or part thereof, whichever is higher, Max. Rs. 500,000/- + Rs. 25 courier charges
7A	Transaction Charges: (Per ISIN) Purchase / Credit	Nil	Nil	Nil	Nil	Nil
7B	Transaction Charges: (Per ISIN) Sell within Indo Thai Group	Rs. 10/- or 0.03% of Market Value (With POA), Rs. 20/- or 0.03% of Market Value (Without POA)	Rs. 10/- or 0.03% of Market Value (With POA), Rs. 20/- or 0.03% of Market Value (Without POA)	Rs. 10/- or 0.03% of Market Value,	Rs. 10/- or 0.03% of Market Value	Nil
7C	Transaction charges: (Per ISIN) Off Market/Sell Out of Indo Thai Group	Rs. 30/- or 0.03% of Market Value	Rs. 20/- or 0.03% of Market Value	Rs. 30/- or 0.03% of Market Value	Rs. 30/- or 0.03% of Market Value	Rs. 30/- or 0.03% of Market Value
8.	Pledge Creation (Per ISIN)	Min. Rs. 50/-	Min. Rs. 50/-	Min. Rs. 50/-	Min. Rs. 50/-	Min. Rs. 50/-
9.	Pledge Closing (Per ISIN)	Min. Rs. 50/-	Min. Rs. 50/-	Min. Rs. 50/-	Min. Rs. 50/-	Min. Rs. 50/-
10.	Pledge Invocation (Per ISIN)	Min. Rs. 50/-	Min. Rs. 50/-	Min. Rs. 50/-	Min. Rs. 50/-	Min. Rs. 50/-
11.	Free Statement of Account	Quarterly in case of any Transactions, otherwise yearly.	In case of Transactions - Monthly For Nil Transactions - Yearly	In case of Transactions - Monthly For Nil Transactions - Yearly	In case of Transactions - Monthly For Nil Transactions - Yearly	In case of Transactions - Monthly For Nil Transactions - Yearly
12.	Extra Statement on Request	Rs.25 for Physical Statement, Nil for electronic statement	Rs.25 for Physical Statement, Nil for electronic statement	Rs.25 for Physical Statement, Nil for electronic statement	Rs.25 for Physical Statement, Nil for electronic statement	Rs.25 for Physical Statement, Nil for electronic statement

Notes:

1	All the charges would be calculated for the transactions as Computed by CDSL.
2	All taxes / levies/ Cess as applicable will be charged extra.
3	Annual Maintenance Charges are upfront for the year, payable in the beginning of the year.
4	In case the outstanding charges are due for 7 days or more, the execution of delivery instructions may be stopped
5	Rs.50/- will be charged for issue of new DIS booklet in lieu of the one lost by BO
6	Failed instruction Rs.10/-
7	Freeze and unfreeze charges Rs.50/-
8	On request of loose DIS charges will be Rs.20/-
9	Rates are subject to revision from CDSL, Further Indo Thai Securities Limited reserves the right to amend the tariff at any given point of time.
10	Client master modification charges Rs. 50/- per request

BO ID No : 12050900		Client Trading Code No.	
I / We opt for the Tariff Scheme		<input type="checkbox"/> BSDA <input type="checkbox"/> A <input type="checkbox"/> B <input type="checkbox"/> C <input type="checkbox"/> D (Tick as applicable)	
Signature/s BO		①	② ③
		1st Holder	2nd Holder 3rd Holder
I / We agree & enclose a Cheque No. _____ Dated _____ of Rs. _____			
Drawn on bank _____ against above option.			

OPTION FORM FOR ISSUE OF DIS BOOKLET

Annexure 2.5

Date								
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DP ID	1	2	0	5	0	9	0	0	Client ID								
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Name of the Sole / First Holder	
Name of Second joint Holder	
Name of Third joint Holder	

To,
INDO THAI SECURITIES LTD.
 Corporate Office : 14th, 4th Floor,
 Dawa Bazar, RNT Marg, Indore - 452001 (M.P.)

Dear Sir / Madam

I / We hereby state that : (Select one of the option given Below)

☐ **Option - 1 :**

I / We require you to issue Delivery Instruction Slip (DIS) booklet to me / us immediately on opening my / our CDSL account though I / we have issued a Power of Attorney (POA) / executed PMS agreement in favour of / with _____ (name of the attorney / Clearing Member / PMS manager) for executing delivery instructions for setting stock exchange trades [settlement related transactions] effected through such Clearing Member / by PMS manager.

Yours faithfully

	First / Sole Holder or Guardain (in case of Minor)	Second Holder	Third Holder
Name			
Signature			

OR

☐ **Option - 2 :**

I / We do not require the Delivery Instruction Slip (DIS) for the time being, since I / We have issued a POA / executed PMS agreement in favour of / with _____ (name of the attorney / Clearing Member / PMS manager) for executing delivery instructions for setting stock exchange trades [settlement related transactions] effected through such Clearing Member / by PMS manager. However, the Delivery Instruction Slip (DIS) booklet should be issued to me / us immediately on my / our request at any later date.

Yours faithfully

	First / Sole Holder or Guardain (in case of Minor)	Second Holder	Third Holder
Name			
Signature			

Acknowledgement Receipt

Received OPTION FORM FOR ISSUE / NON ISSUE of DIS BOOKLET Form :

DP ID	1	2	0	5	0	9	0	0	Client ID								
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Name of the Sole / First Holder	
Name of Second joint Holder	
Name of Third joint Holder	

Blank Page

Stamp

(To be signed only by clients having their trading account with in Indo Thai Securities Ltd.)
Power of attorney for pay-in of securities for the purpose of settlement obligation

To all to whom these present shall come I / We _____ whereas

I/We hold a Beneficiary Owner Account No. 12050900 _____ (BO-ID) with Central Depository Services (India) Limited, through Indo Thai Securities Ltd, bearing DP-ID-12050900 and whereas I/We am /are an investor (s) engaged in buying and selling of securities through Indo Thai Securities Ltd a member of **NSE (SEBI Regd. No. INB 230776739), BSE (SEBI Regd. No. INB010776731)** and Trading Account (client code) _____ and whereas due to exigency and paucity of time, I/ We am/are desirous of appointing an agent /attorney to operate the aforesaid beneficiary account on my /our behalf for a limited purpose in the manner hereinafter appearing. ☐

NOW KNOW WE ALL AND THESE PRESENTS WITNESS THAT I/WE THE ABOVE NAMED DO HEREBY NOMINATE CONSTITUTE AND APPOINT INDO THAI SECURITIES LTD. as my/our true and lawful attorney (hereinafter referred to as the attorney) for me /us and on my/our name to do, at my/our risk and costs, the all such acts, deeds and things and exercise the following powers and authorities and give all such instructions as mentioned below concerning the said account as I/we myself/ ourselves could give if I/we was/were personally present.

1. To instruct the DP to debit securities to said account and/ or transfer securities from the said account, to the extent of shares sold through Indo Thai Securities Limited for pay-in/settlement obligations as well as for margins toward any stock exchange both in cash and derivatives segments to any of the demat account(s) existing as of the day or which maybe opened in future by Indo Thai Securities Limited for above purpose(s). The details of accounts are as under:

S. No.	DP Name	Account Type	D.P. ID	CLIENT ID	Signature
1	Indo Thai Securities Ltd.	Pool a/c	12050900	00000305	} <input type="checkbox"/>
2	Indo Thai Securities Ltd.	Client Beneficiary A/c	12050900	00000324	
3	Indo Thai Securities Ltd.	Principal a/c	12050900	00019124	
4	Indo Thai Securities Ltd.	Early pay-in (NSE)	11000011	00016871	
5	Indo Thai Securities Ltd.	Early pay-in (BSE)	11000010	00020744	

2. To return the securities to me/my accounts by Indo Thai Securities Ltd. (as stock broker) that may have been received by it (i.e., stock broker) erroneously or those securities that it (i.e., stock broker) was not entitled from me.
3. To authorize Indo Thai Securities Ltd. To send in a daily basis, consolidated summary of my scrip-wise buy and sell position taken with average rates to me by way SMS/email on my mobile phone/email, if any, as intimated by me from time to time, notwithstanding any other document to be disseminated as specified by SEBI from time to time.

And I/we hereby agree and reconfirm that the above powers and authorities shall include the authority to debit securities to the said account and/or transfer securities from the said account and/ or transfer securities from the said account, to the extent of shares and sold through Indo Thai Securities Ltd. for pay-in obligations well as for margins toward any stock exchange

i. For which new membership is taken and/or

ii. For which any new account is opened

by Indo Thai Securities Ltd., both in cash and derivatives segments. The account number/s of such account numbers shall form a part of this POA.

And further I/we hereby agree and confirm that the powers and authorities conferred by this "Power of Attorney" shall continue until I/we have given intimation in writing to the contrary, to the DP. However such revocation shall not be applicable for any outstanding margin/delivery obligation arising out of the trades carried out prior to receiving request for revocation POA.

I/ we the above named do hereby further nominate, constitute and appoint Indo Thai Securities Ltd. as my/our true and lawful Attorney (s) [herein after referred to as "The Attorney (s)"] for me/us and on my /our behalf and in my/our name to do, at my/our risk and costs, the all such acts, deeds and things and exercise the following powers and give all such instructions as mentioned below concerning the said account as I/we myself/ourselves could give if I/we was/ were personally present.

- A. To apply for (both allotment or redemption of) various products like Mutual Funds, Public issues (shares as well as debentures), rights, offer of shares, tendering shares in open offers etc. pursuant to the instruction given by me.
- B. To pledge the securities held by me/us in my/our account or held by Indo Thai Securities Ltd. on my/our behalf in favour of any exchange and/or Indo Thai Securities Ltd. and Indo Thai Securities Ltd. may deem fit for the purpose of meeting my/our margin/settlement requirements pertaining to the trades executed by me/us on any stock exchange through Indo Thai Securities Ltd.

(Signed and Delivered - by the within named)

(For HUF account all the co-parceners should sign below)

1st holder  _____

Co-parceners 1. _____

Co-parceners 2. _____

2nd holder _____

Co-parceners 3. _____

Co-parceners 4. _____

3rd holder _____

Co-parceners 5. _____

Witness 1. Signature _____

Witness 2. Signature _____

Name _____

Name _____

Address _____

Address _____

Place : _____

(Sign the Attorney)

Date : ____/____/____

Registration No.: _____

For **INDO THAI SECURITIES LTD.**