

Indo Thai Securities Ltd.

Code of Practices and Procedures for Fair Disclosure of UPSI



Code of Practices and Procedures for Fair Disclosure of Unpublished Price Sensitive Information

[Under Regulation 8(1) of SEBI (Prohibition of Insider Trading) Regulations, 2015]

ADOPTION AND APPLICABILITY:

This **Code of Fair Disclosure** is adopted by the Board of Directors in their Meeting held on 14th May, 2015, and effective from 15th May, 2015 in satisfaction of Regulation 8(1) of the Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 and is applicable to all disclosures of Unpublished Price Sensitive Information (UPSI) by Indo Thai Securities Limited (hereinafter referred to as the "Company").

RELEVANT DEFINITIONS:

- **Compliance Officer** : "Compliance Officer" means any senior officer, designated so and reporting to the board of directors or head of the organization in case board is not there, who is financially literate and is capable of appreciating requirements for legal and regulatory compliance under these regulations and who shall be responsible for compliance of policies, procedures, maintenance of records, monitoring adherence to the rules for the preservation of unpublished price sensitive information, monitoring of trades and the implementation of the codes specified in these regulations under the overall supervision of the board of directors of the listed company or the head of an organization, as the case may be;
- **Generally Available Information**: "Generally Available Information" means information that is accessible to the public on a non-discriminatory basis;
- **Unpublished Price Sensitive Information**: "Unpublished Price Sensitive Information" means any information, relating to a company or its securities, directly or indirectly, that is not generally available which upon becoming generally available, is likely to materially affect the price of the securities and shall, ordinarily including but not restricted to, information relating to the following:
 -
 - (i) financial results;
 - (ii) dividends;
 - (iii) change in capital structure;
 - (iv) mergers, de-mergers, acquisitions, delistings, disposals and expansion of business and such other transactions;
 - (v) changes in key managerial personnel; and
 - (vi) material events in accordance with the listing agreement.

Prompt Public Disclosure of Unpublished Price Sensitive Information

The Company shall ensure prompt public disclosure of unpublished price sensitive information that would impact price discovery no sooner than credible and concrete information comes into being in order to make such information generally available.

Uniform and Universal Dissemination of UPSI

The Company shall ensure uniform and universal dissemination of unpublished price sensitive information to avoid selective disclosure.

Designating the Senior Officer As Chief Investor Relations Officer

The Company Secretary cum Compliance Officer of the Company, Mr. Udayan Abhilash Shukla shall act as a 'Chief Investor Relations Officer' to deal with dissemination of information and disclosure of unpublished price sensitive information.

Prompt Dissemination of UPSI that is disclosed Selectively

The Company shall make prompt dissemination of unpublished price sensitive information that gets disclosed selectively, inadvertently or otherwise to make such information generally available.

Response to Regulatory Authorities on Market Rumours

The Company shall provide appropriate and fair response to queries on news reports and requests for verification of market rumours by regulatory authorities.

Interactions with Analysts, Investors And Research Personnel

The Company shall ensure that information shared with analysts and research personnel is not unpublished price sensitive information.

The Company shall develop the best practices to make transcripts or records of proceedings of meetings with analysts and other investor relations conferences on the official website www.indothai.co.in to ensure official confirmation and documentation of disclosures made.

Handling of All Unpublished Price-Sensitive Information

The Company shall handle all unpublished price sensitive information on a need-to-know basis.